

UNIVERSITY OF MISSOURI
Columbia . Kansas City . Rolla . St. Louis



BOARD OF CURATORS

Minutes of the Board of Curators Meeting
June 13-14, 2013
Reynolds Alumni Center, University of Missouri
Columbia, Missouri

BOARD OF CURATORS MEETING – PUBLIC SESSION

A meeting of the University of Missouri Board of Curators was convened in public session at 11:50 A.M., on Thursday, June 13, 2013, in Columns Room C, D & E of the Reynolds Alumni Center on the University of Missouri campus, Columbia, Missouri, pursuant to public notice given of said meeting. Curator Wayne Goode, Chairman of the Board of Curators, presided over the meeting.

Present

The Honorable David R. Bradley
The Honorable Ann K. Covington
The Honorable Donald L. Cupps
The Honorable Don M. Downing
The Honorable Wayne Goode
The Honorable Pamela Q. Henrickson
The Honorable John R. Phillips
The Honorable J. Michael Ponder
The Honorable David L. Steward

Also Present

Mr. Timothy M. Wolfe, President
Mr. Stephen J. Owens, General Counsel
Ms. Cindy Harmon, Secretary of the Board of Curators
Miss Amy G. Johnson, Student Representative to the Board of Curators
Dr. Gary Allen, Vice President for Information Technology
Dr. Brady J. Deaton, Chancellor, University of Missouri
Dr. Thomas F. George, Chancellor for University of Missouri – St. Louis
Dr. Steven Graham, Senior Associate Vice President for Academic Affairs
Mr. Stephen C. Knorr, Vice President for University Relations

Ms. Natalie "Nikki" Krawitz, Vice President for Finance and Administration
Mr. Leo E. Morton, Chancellor of University of Missouri – Kansas City
Dr. Betsy Rodriguez, Vice President for Human Resources
Dr. Cheryl B. Schrader, Chancellor for Missouri University of Science and Technology
Dr. Robert W. Schwartz, Chief of Staff
Mr. John Fougere, Chief Communications Officer, UM System
Media representatives

The Oath of Office was administered for Curator J. Michael Ponder.

General Business

Review Consent Agenda – No discussion.

Approval of Board of Curators Executive Committee and Board Standing Committee Appointments, 2013

It was recommended by Chairman Goode, moved by Curator Downing and seconded by Curator Covington, that the following Board of Curators Executive Committee and Standing Committees appointments be approved for 2013:

Executive Committee

Wayne Goode, Chairman
Don M. Downing
Pamela Q. Henrickson

Academic, Student and External Affairs Committee

David L. Steward, Chairman
David R. Bradley
Ann Covington
Pamela Q. Henrickson
Michael Ponder
Amy Johnson, Student Representative

Audit Committee

Pamela Q. Henrickson, Chairwoman
Donald L. Cupps
Don M. Downing
John R. Phillips
David L. Steward

Compensation and Human Resources Committee

Donald L. Cupps, Chairman
David R. Bradley
Don M. Downing
John R. Phillips
Michael Ponder

Finance Committee

Don M. Downing, Chairman
Ann Covington
Donald L. Cupps
Michael Ponder
David L. Steward
Amy Johnson, Student Representative

Governance, Resources and Planning Committee

David R. Bradley, Chairman
Pamela Q. Henrickson
John R. Phillips
Wayne Goode, ex officio
Tim Wolfe, ex officio

Roll call vote:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

Resolution for Executive Session of the Board of Curators Meeting

It was moved by Curator Bradley and seconded by Curator Steward, that there shall be an executive session with a closed record and closed vote of the Board of Curators meeting, on June 13 and 14, 2013 for consideration of:

- **Section 610.021(1), RSMo**, relating to matters identified in that provision, which include legal actions, causes of action or litigation, and confidential or privileged communications with counsel; and
- **Section 610.021(2), RSMo**, relating to matters identified in that provision, which include leasing, purchase, or sale of real estate; and
- **Section 610.021(3), RSMo**, relating to matters identified in that provision, which include hiring, firing, disciplining, or promoting of particular employees; and
- **Section 610.021(12), RSMo**, relating to matters identified in that provision, which include sealed bids and related documents and sealed proposals and related documents or documents related to a negotiated contract; and
- **Section 610.021 (13), RSMo**, relating to matters identified in that provision, which include individually identifiable personnel records, performance ratings, or records pertaining to employees or applicants for employment; and

Roll call vote of the Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

A meeting of the Compensation and Human Resources Committee was convened at 11:58 A.M. and recessed at 12:00 P.M.

The public session of the Board of Curators meeting recessed at 12:00 P.M. on Thursday, June 13, 2013.

EXECUTIVE SESSION

A meeting of the University of Missouri Board of Curators was convened in executive session at 12:05 P.M., on Thursday, June 13, 2013, in the Donrey Media Room 211 of the Reynolds Alumni Center on the University of Missouri campus, Columbia, Missouri, pursuant to public notice given of said meeting. Curator Wayne Goode, Chairman of the Board of Curators, presided over the meeting.

Present

The Honorable David R. Bradley
The Honorable Ann K. Covington
The Honorable Donald L. Cupps
The Honorable Don M. Downing
The Honorable Wayne Goode
The Honorable Pamela Q. Henrickson
The Honorable John R. Phillips
The Honorable J. Michael Ponder
The Honorable David L. Steward

Also Present

Mr. Timothy M. Wolfe, President
Mr. Stephen J. Owens, General Counsel
Ms. Cindy Harmon, Secretary of the Board of Curators
Miss Amy G. Johnson, Student Representative to the Board of Curators

Vice President Krawitz and Chancellor Morton joined the meeting.

²³⁹ Property Purchase, UMKC - this item is excluded from the minutes and will be given public notice upon completion of the matter.

Vice President Krawitz and Chancellor Morton excused themselves from the meeting.

Compensation and Human Resources Committee – Executive Session

Annual Performance Review, President Timothy M. Wolfe – presented by Chairman Cupps.

Appointment of Henry C. Foley as Executive Vice President for Academic Affairs – presented by Chairman Cupps and President Wolfe

It was recommended by President Wolfe, endorsed by the Compensation and Human Resources Committee, moved by Curator Cupps and seconded by Curator Bradley, that the appointment of Dr. Henry C. Foley as Executive Vice President for Academic Affairs be approved according to the terms and conditions set forth in the June 7, 2013 letter from President Wolfe to Dr. Foley.

Roll call vote of Board of Curators:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

General Business

Personnel and Contract Update – presented by President Wolfe.

The executive session of the Board of Curators meeting recessed at 1:40 P.M.

PUBLIC SESSION

A meeting of the University of Missouri Board of Curators was reconvened in public session at 1:45 P.M., on Thursday, June 13, 2013, in Columns Room C, D & E of the Reynolds Alumni Center on the University of Missouri campus, Columbia, Missouri, pursuant to public notice given of said meeting. Curator Wayne Goode, Chairman of the Board of Curators, presided over the meeting.

Present

The Honorable David R. Bradley
The Honorable Ann K. Covington

The Honorable Donald L. Cupps
The Honorable Don M. Downing
The Honorable Wayne Goode
The Honorable Pamela Q. Henrickson
The Honorable John R. Phillips
The Honorable J. Michael Ponder
The Honorable David L. Steward

Also Present

Mr. Timothy M. Wolfe, President
Mr. Stephen J. Owens, General Counsel
Ms. Cindy Harmon, Secretary of the Board of Curators
Miss Amy G. Johnson, Student Representative to the Board of Curators
Dr. Gary Allen, Vice President for Information Technology
Dr. Brady J. Deaton, Chancellor, University of Missouri
Dr. Thomas F. George, Chancellor for University of Missouri – St. Louis
Dr. Steven Graham, Senior Associate Vice President for Academic Affairs
Mr. Stephen C. Knorr, Vice President for University Relations
Ms. Natalie "Nikki" Krawitz, Vice President for Finance and Administration
Mr. Leo E. Morton, Chancellor of University of Missouri – Kansas City
Dr. Betsy Rodriguez, Vice President for Human Resources
Dr. Cheryl B. Schrader, Chancellor for Missouri University of Science and Technology
Dr. Robert W. Schwartz, Chief of Staff
Mr. John Fougere, Chief Communications Officer, UM System
Media representatives

General Business

Board of Curators standing committee meetings were convened at 1:50 P.M. and concluded at 5:42 P.M. on Thursday, June 13, 2013. Committee actions were presented to the full Board for action following each Committee vote.

Governance, Resources and Planning Committee

Chairman Bradley provided time for discussion of committee business.

Information

1. Five-Year Strategic Plan, UM (information and slides on file)

Finance Committee

Chairman Downing provided time for discussion of committee business.

Information

1. Fiscal Year 2015 Preliminary Operating Appropriations Request, UM (information and slides on file)
2. Fiscal Year 2015 Preliminary State Capital Appropriations Request and Campus Capital Project Plans, UM (information and slides on file)
3. Project Design, Recreation and Wellness Center, UMSL (information on file)
4. Project Design, Hospital Hill Housing, UMKC (information on file)
5. Physical Facilities Quarterly Report and Board Approved Project Status Report, UM (information on file)

Action

1. Fiscal Year 2014 Operating Budget, UM
2. Naming Opportunity, MU
3. Project Approval, Jesse Hall - Fire Safety System, Elevators, and HVAC, MU
4. Project Approval, Swallow Hall Renovation, MU
5. Project Approval, Dobbs Group Replacement Project – Phase One, MU

Fiscal Year 2014 Operating Budget, UM – presented by Vice President Krawitz (information and slides on file)

It was recommended by the respective Chancellors, endorsed by President Wolfe, recommended by the Finance Committee, moved by Curator Downing and seconded by Curator Covington, that the following recommendations be approved:

- that the President of the University System be authorized to develop the FY2014 budgets in accordance with the attached planning assumptions and financial summaries which include the allocation of FY2014 recurring state appropriations less 3.0% statutory withholdings and spending restrictions imposed by the Governor as follows:

FY2014 Gross Appropriations

General Operations	\$407,511,996
UMKC MSU Pharmacy Doctorate Program	2,000,000
Missouri Federal and State Technical Program	340,000
MU Medical School Expansion	10,000,000
Missouri Rehabilitation Center	10,337,870
Missouri Kidney Program	1,750,000

Missouri Telehealth Network	437,640
Spinal Cord Injury Research	1,500,000
State Historical Society	1,727,605

- that the President of the University System be authorized to allocate one-time or recurring line-item state appropriations, net of anticipated withholdings;
- that the President of the University System be authorized to: (a) make required changes to working capital and reserve funds and (b) make supplemental allocations within the funds available to the several campuses and programs, such allocations to be made on the basis of priority and need. The President will report periodically to the Board of Curators any material changes in the sources and uses of current funds;
- that the operating budget for FY2014 and allocation as stated herein can be modified as necessary by the President to bring the same into harmony with

the state appropriation as finally approved by the governor and any withholdings in excess of those shown above.

Roll call vote full Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

Naming Opportunity of the Collegiate Golf Facility at the Club at Old Hawthorne, MU – presented by Vice President Krawitz (information on file)

It was recommended by Chancellor Deaton, endorsed by President Wolfe, recommended by the Finance Committee, moved by Curator Downing and seconded by Curator Cupps, that the following action be approved:

the Board of Curators determine that it is in the best interests of the University to permit a second Exterior Area (as defined by CRR 110.080.B) to be named after the Walsworth family; and

that the collegiate golf facility at the Club at Old Hawthorne be named the “Walsworth Family Golf Complex”.

Roll call vote Full Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

Project Approval, Jesse Hall – Fire Safety System, Elevators and HVAC, MU – presented by Vice President Krawitz (information on file)

It was recommended by Chancellor Deaton, endorsed by President Wolfe, recommended by the Finance Committee, moved by Curator Downing and seconded by Curator Steward, that the following action be approved:

the project approval for Jesse Hall - Fire Safety System, Elevators, and HVAC for the University of Missouri-Columbia.

Funding of the project budget is from:

Campus Reserves	\$ 5,450,000
Campus Facilities	<u>4,400,000</u>
Total Funding	\$ 9,850,000

Roll call vote Full Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.

Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

Project Approval, Swallow Hall Renovation, MU – presented by Vice President Krawitz
(information on file)

It was recommended by Chancellor Deaton, endorsed by President Wolfe,
recommended by the Finance Committee, moved by Curator Downing and seconded by
Curator Steward, that the following action be approved:

the project approval for the Swallow Hall Renovation for the University of
Missouri-Columbia.

Funding of the project budget is from:

Bond Refinancing Savings	\$ 8,600,000
Campus Facilities Reserves	<u>2,900,000</u>
Total Funding	\$ 11,500,000

Roll call vote Full Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

Project Approval, Dobbs Group Replacement Project – Phase One, MU – presented by
Vice President Krawitz (information in file)

It was recommended by Chancellor Deaton, endorsed by President Wolfe, recommended by the Finance Committee, moved by Curator Downing and seconded by Curator Steward, that the following action be approved:

the project approval for the Dobbs Group Replacement Project – Phase One for the University of Missouri-Columbia.

Funding of the project budget is from:

Residential Life Revenue Bonds	\$54,124,750
Campus Dining Services Reserves	12,500,000
Residential Life Reserves	<u>4,500,000</u>
Total Funding	\$ 71,124,750

Roll call vote Full Board:

Curator Bradley abstained.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder abstained.
Curator Steward voted yes.

The motion carried with seven votes in favor and two abstaining.

Audit Committee

Chairwoman Henrickson provided time for discussion of committee business.

Information

1. Internal Audit Quarterly Report, UM (information and slides on file)

Action

1. Approval of 2014 Internal Audit Engagement, UM

It was recommended by Vice President Krawitz, endorsed by President Wolfe, recommended by the Audit Committee, moved by Curator Henrickson, seconded by Curator Cupps that the following action be approved:

That the Vice President for Finance and Administration be authorized to employ the firm of PricewaterhouseCoopers LLP to provide Internal Audit services to the

University of Missouri for a one-year term from November 1, 2013 through October 31, 2014, for a fee of \$1,020,000, plus expenses not to exceed 15% of fees annually unless approved by the University, with the option to renew on an annual basis over a period of up to one (1) additional year.

Roll call vote of the Board of Curators:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

Compensation and Human Resources Committee

Curator Cupps provided time for discussion of committee business.

Information

1. Charge for Total Rewards Ad Hoc Task Force (information and slides on file)

Curator Covington recused herself from this discussion.

Action

1. Approval of Collected Rules and Regulations 320.050 Employment Status; and Eligibility Language for Collected Rules and Regulations 500.010 Medical Benefits Plan, 510.010 Dental Benefits Plan, 540.010 Long Term Disability Plan, and 550.010 Flexible Benefits Plan
2. Approval of Retirement Plan Actuarial Assumptions

Curator Covington rejoined the meeting.

Approval of Collected Rules and Regulations 320.050 Employment Status; and Eligibility Language for Collected Rules and Regulations 500.010 Medical Benefits Plan, 510.010

Dental Benefits Plan, 540.010 Long Term Disability Plan, and 550.010 Flexible Benefits Plan – presented by Vice President Rodriguez (information and slides on file)

It was recommended by Vice President Rodriguez, endorsed by President Wolfe, recommended by the Compensation and Human Resources Committee, moved by Curator Cupps, and seconded by Curator Phillips, that the following action be approved:

that Collected Rules and Regulations, Section 320.050, be amended to more fully define the university employment status categories and to comply with Patient Protection and Affordable Care Act; and that Collected Rules and Regulations Sections 500.010, Medical Benefits Plan, 510.010 Dental Benefits Plan, 540.010 Long Term Disability Plan and 550.010 Flexible Benefits Plan be revised (using definitions in Collected Rules and Regulations 320.050 Employment Status) to ensure compliance with Patient Protection and Affordable Care Act. The revised language also adds coverage for Sponsored Adult Dependents.

Roll call vote of Board of Curators:

Curator Bradley voted yes.
Curator Covington vote yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

Collected Rules and Regulations
Chapter 320: Employment and Termination

320.050 Employee Status

Bd. Min. 2-27-76; Amended 9-26-97; Amended Bd. Min. 10-17-03, Amended 4-03-09, Amended 6-14-13.

I. Academic Staff Appointment: There are two categories of Academic Staff Appointments, Regular and Non-Regular, as defined by Section 310.020 of the Collected Rules and Regulations

(CRR). For the purposes of Section 320.050 of the CRR, the status of Academic Staff Appointments is based on the terms of the employment relationship with the university, and both Regular and Nonregular academic staff employees may be either a Fully Benefit Eligible Employee or a Variable Hour Employee as determined by the definitions below.

- A. **Fully Benefit Eligible Academic Appointment:** An employee with an Academic Staff Appointment that is at least 75 percent full time equivalent (FTE) and with indicated appointment duration of at least nine months. Individuals who are simultaneously employed by the University and the Harry S. Truman Veterans Administration Hospital, pursuant to an agreement between said organizations, where the total combined appointments are greater than 75 percent FTE, but the University portion of the appointment is less than 75 percent FTE, are considered Fully Benefit Eligible except for the purpose of participation in the University's Retirement Disability and Death Plan and the Employee Retirement Investment Plan.
- B. **Variable Hour Employee:** An employee with an Academic Staff Appointment that does not meet the definition of Fully Benefit Eligible above nor the definition of Regular Employee (Administrative, Service and Support Staff) below, nor the definition of Student Employee below.

II. Administrative, Service and Support Staff: The following provisions defining Regular Employee, Variable Hour Employee, Nonregular Employee and Per Diem Employee are in effect for all administrative, service and support employees, unless specifically exempted by policy.

- A. **Regular Employee:** An employee with an administrative, service and support staff appointment that is at least 75 percent FTE with an appointment duration of at least nine months. Service credit under the UM retirement program requires a minimum of 1500 hours worked per year.
- B. **Variable Hour Employee:** An employee that does not have an Academic Staff Appointment that meets the definition of Fully Benefit Eligible Academic Appointment above nor the definition of Regular Employee (Administrative, Service and Support Staff) above, nor the definition of Student Employee below. A Variable Hour Employee in an administrative, service and support staff appointment may be either a Nonregular Employee or a Per Diem Employee as defined below.
 - 1. **Nonregular Employee:** An employee that has an administrative, service and support staff appointment that does not qualify for Regular Employee status as defined above. Employees may be reappointed beyond the initial appointment, but in no instance may a Nonregular Employee earn service credit under the retirement plans.
 - 2. **Per Diem Employee:** An employee that has an administrative, service and support staff appointment that is not a part of an operating unit's regular work schedule and is scheduled to work only on an "as needed" basis. Work may be scheduled prospectively but should be considered as "elected" by the employee. Per Diem employees are provided an hourly rate of pay following approved rate schedule(s).

III. Student Employees: An employee that is enrolled as a student at the University of Missouri and whose primary association with the university is related to the pursuit of an academic program. Because academic pursuit is the primary purpose of the student role, Student Employees should not be scheduled to work more than an average of 28 hours per week across all concurrent university jobs during the measurement period¹ and should be in a student title as described in HR-204 Student Position Titles.

IV. The President is hereby authorized, subject to approval of the language by the General Counsel, to modify the language of benefit plan documents, as necessary to make them consistent with the above revisions of Section 320.050.

¹ Measurement and Stability Periods are designated by the University in accordance with Section 4980H of the Internal Revenue Code and the regulations thereunder.

Collected Rules and Regulations Chapter 500: Benefit Program Plans

Collected Rules and Regulations 500.010, 510.010, 540.010 and 550.010

Draft Language for Collected Rules and Regulations 500.010 Medical Benefits Plan, 510.010 Dental Benefits Plan, 540.010 Long Term Disability Plan, and 550.010 Flexible Benefits Plan

Below is draft language that will form the basis for changes to the Medical Plan, Dental Plan, Vision Plan, Dependent Life Insurance Plan, and Accidental Death and Dismemberment Plan in 2014.

Eligibility for Sponsored Adult Dependents will be added to the Medical Plan, Dental Plan, Vision Plan, Dependent Life Insurance Plan, and Accidental Death and Dismemberment Plan in 2014. The requirements for coverage as a Sponsored Adult Dependent (below) are in final form.

The remaining eligibility changes outlined below will apply only to the Medical Plan in 2014. The changes are designed to comply with the "Shared Responsibility" (or "Pay or Play") provisions under the Patient Protection and Affordable Care Act. The eligibility changes below will have to be further modified before 2014 to comply with the Shared Responsibility final regulations that will be issued in the near future (at this time, only proposed regulations have been issued).

B. DEFINITIONS

For purposes of this Plan, the following words and phrases, whether or not capitalized, shall have the respective meaning herein provided, unless different meanings are plainly indicated by the context.

24. Dependent

Dependent means the following persons:

- a. the Spouse of an Employee or Retired Employee;
- b. each Child of an Employee or Retired Employee through the day before such Child reaches 26 years of age;
- c. each unmarried Child of an Employee or Retired Employee who is mentally or physically incapable of earning a living due to such disability existing at the time such Child reached the maximum age provided in b. above, who is receiving Principal Support from and has the same principal place of abode as the Employee, Retired Employee, or Retired Employee's Spouse. Application for continuation of Dependent status for such a Child must be made with the Plan Administrator thirty-one days prior to the Child's attaining such maximum age. The Plan Administrator has the right to require proof of the continuation of such disability upon attainment of such age as often as deemed necessary by the Plan Administrator. If the Employee or Retired Employee fails to submit such proof, coverage shall be discontinued thirty-one days after the Plan Administrator requested such proof.

This definition shall be effective January 1, 1994 and shall not be construed to eliminate the eligibility of any Dependent covered by the Plan as of December 31, 1993.

Only those individuals who satisfy the definition of a Dependent of a Retired Employee, as described herein, as of the day next preceding retirement, will be eligible as a Dependent of a Retired Employee.

Only those individuals who satisfy the definition of a Dependent of an Employee described herein as of the day next preceding total and permanent disability, will be eligible as a Dependent of a totally and permanently disabled Employee;

- d. the Sponsored Adult Dependent of an Employee or Retired Employee, so long as the Employee or Retired Employee does not have a Spouse.

29. Employee

Employee means:

- a. a fully benefit eligible academic appointment, meaning an employee on "academic staff appointment" (as defined in University Collected Rules and Regulations (CRR) 310.020), who is expected to work at least seventy-five (75) percent full-time equivalence and has an indicated appointment duration of at least nine months (for the purpose of this paragraph any individual who is simultaneously employed by the University and the Harry S. Truman Veterans Administration Hospital pursuant to an agreement between said organizations, and whose joint appointments, combined, otherwise meet the requirements of this paragraph, shall be considered an Employee); or

- b. an administrative, service and support employee classified as a "regular employee" (as defined in CRR 320.050), meaning an administrative, service and support employee who is expected to work at least seventy-five (75) percent full-time equivalence and has an indicated appointment duration of at least nine months; or
- c. a variable hour employee who has been determined to have worked thirty (30) hours or more on average per week during the University's designated "measurement period" (as defined in Section 4980H of the Code and the regulations issued thereunder). A variable hour employee includes:
 - 1) an employee on "academic staff appointment" (as defined in CRR 310.020) whose appointment does not qualify for fully benefit eligible academic appointment status under Section 500.010.B.29.a above; or
 - 2) an administrative, service and support employee who is either: (a) a "nonregular employee" (as defined in CRR 320.050), meaning an individual whose appointment does not qualify for "regular employee" status (as defined in CRR 320.050), or (b) a "per diem employee" (as defined in CRR 320.050), meaning an individual whose appointment is not a part of an operating unit's regular work schedule, who is scheduled to work only on an "as needed" basis, and who is provided an hourly rate of pay following approved rate schedule(s); or
 - 3) a student employee, meaning an individual enrolled as a student at the University of Missouri whose primary association with the University is related to the pursuit of an academic program; or
- d. an individual who, while covered as an Employee under Section 500.010.B.29.a. through b. above, became totally and permanently disabled in accordance with the University of Missouri's Long Term Disability Plan and is entitled to continued service credit as a disabled Employee under the University of Missouri's Retirement, Disability and Death Benefit Plan.

73. Retired Employee or Retiree

Retired Employee or Retiree means any individual who terminates coverage under this Plan as an Employee and on the date following such termination of coverage is eligible for early retirement, normal retirement, or disability retirement benefits under the terms and provisions of the University of Missouri Retirement, Disability and Death Benefit Plan. A Retired Employee shall also mean a Surviving Spouse.

In the event that a Retired Employee is rehired by the University and such Retired Employee is eligible for coverage as an Employee under Section 500.010.B.29.a., b., or c., such Retired Employee will cease to be eligible as a Retired Employee under this Plan during the period in which the individual is eligible for coverage as an Employee.

77. Sponsored Adult Dependent

Sponsored Adult Dependent means an adult person who meets all of the following criteria:

- a. Has had the same principal residence as the Employee or Retired Employee for at least 12 months, and continues to have the same principal residence as the Employee or Retired Employee, disregarding temporary absences due to special circumstances including illness, education, business, vacation or military service;
- b. Is 18 years of age or older;
- c. Is not currently married to another person under either statutory or common law;
- d. Is not related to the Employee or Retired Employee by blood or a degree of closeness that would prohibit marriage in the law of the state in which the Employee or Retired Employee resides; and
- e. Is not eligible for Medicare.

C. ELIGIBILITY AND PARTICIPATION

1. Employee Eligibility

Employees other than Employees under Section 500.010.B.29.c. are eligible to participate in this Plan on the first day they are employed. Employees under Section 500.010.B.29.c. are eligible to participate in this Plan during a designated "stability period" (as defined in Section 4980H of the Code and the regulations issued thereunder) immediately following a designated "measurement period" (as defined in Section 4980H of the Code and the regulations issued thereunder) during which such Employee worked thirty (30) hours or more on average per week. Any person who is not an Employee under Section 500.010.B.29., but who subsequently becomes an Employee (other than Employees under Section 500.010.B.29.c.), shall be eligible to participate in this Plan on the effective date of such change of designation.

An Employee may become covered in the Plan by making written application for such coverage on forms prescribed by the University for that purpose, and by making the required Contribution for such coverage.

2. Employee Participation

An Employee becomes a Participant in the Plan on:

- a. the date the Employee becomes eligible to participate, provided the Employee is eligible to participate on the first day of the year and makes written application during the enrollment period prior to the first day of the year,
- b. the Employee's date of hire, if written application is made within 30 days

after the Employee's date of hire. The special rule for newly hired Employees does not apply to terminated Employees who are rehired within 30 days after terminating employment, or Employees returning from an unpaid leave of absence of less than 30 days.

- c. the first of the month coincident with or next following the date the Employee becomes eligible to participate and makes written application to participate, provided such Employee makes written application within thirty-one days of the date on which the Employee becomes eligible and not more than thirty-one days of return to Active Employment if the Employee was not Actively at Work on the date of eligibility.

In the case of an Employee who is not Actively at Work due to a Health Factor on the date the Employee would otherwise become a Participant, the date the Employee becomes a Participant will be determined without regard to the fact that the Employee was not Actively at Work.

- d. for Employees under Section 500.010.B.29.c., the first day of the designated "stability period" (as defined in Section 4980H of the Code and the regulations issued thereunder), if the Employee makes written application during the designated "administrative period" (as defined in Section 4980H of the Code and the regulations issued thereunder) immediately preceding the applicable designated "stability period" (as defined in Section 4980H of the Code and the regulations issued thereunder).
- e. In no event shall an Employee become a Participant prior to the beginning date of employment with the University.

3. Retired Employee Eligibility and Participation

A Retired Employee is eligible to participate in this Plan as a Retired Employee immediately upon becoming a Retired Employee. A Retired Employee is eligible to participate in the Plan as long as the Retired Employee is entitled to receive benefits under the University of Missouri Retirement, Disability and Death Benefit Plan or would be entitled to receive such benefits had the Retired Employee not elected to participate in a federal or state government retirement program. In the event that a Retired Employee is rehired by the University and such Retired Employee is eligible for coverage as an Employee under Section 500.010.B.29.a., b., or c., such Retired Employee will cease to be eligible as a Retired Employee under this Plan during the period in which the individual is eligible for coverage as an Employee.

A Retired Employee becomes a Participant in the Plan as a Retired Employee on the first of the month following the Retired Employee's retirement date, provided the Retired Employee makes written application to participate as a Retired Employee within 30 days following the Retired Employee's retirement date. Should a Retired Employee terminate coverage, that Retired Employee is no longer eligible to be a Participant.

A Retired Employee will be allowed but will not be required, to suspend coverage under the Plan for the period that such Retiree is enrolled under another non-University health care plan (excluding Medicare and Medicaid), the provisions of

which require payment of a deductible no greater than \$1,500 and payment of co-insurance no greater than 20%. A Retired Employee who elects to suspend coverage under this provision will be allowed to resume coverage under this Plan on the first day of a subsequent calendar year provided:

- a. coverage under said health care program has been maintained for the entire period that coverage was suspended under the Plan; and
- b. application to resume coverage under the Plan is received by the University of Missouri Faculty & Staff Benefits Office no later than December 1 of the year preceding the calendar year in which coverage is to resume.

A Participant or Dependent in the RHP or RHPnRx will be automatically enrolled in the Medicare PDP offered by the Provider Network Service Contractor chosen by the University of Missouri when he or she:

- 1) Is eligible for and enrolled in Medicare Part A; or
- 2) Is enrolled in Medicare Part B; and
- 3) Lives in the PDP network service area, which includes the United States and Puerto Rico.

A Retired Employee or their Dependent may opt-out of Prescription Drug Coverage through the RHP (and participate in the RHPnRx). If a Participant or Dependent opts-out of Prescription Drug Coverage, they may not re-enroll in that coverage. In addition, if a Participant opts-out of Prescription Drug Coverage, any Dependent enrolled on his or her RHP will also be dis-enrolled, and may not re-enroll in that coverage.

For detailed information about the prescription drug benefits provided by the Program's Medicare Prescription Drug Plan, Participants and Dependents should refer to the separate Evidence of Coverage booklet from the Provider Network Service Contractor, which by this reference is wholly incorporated with and forms a part of this Plan Document. If there is a discrepancy between the provisions of this Plan Document and the Evidence of Coverage or other booklets furnished by the Medicare Prescription Drug Plan, the actual provisions of the Evidence of Coverage, insurance policy, or certificates of insurance shall prevail as long as they are consistent with the Medicare program.

4. Dependent Eligibility

A Dependent of an Employee is eligible to participate in the Plan on the later of:

- a. the date such Employee becomes a Participant; or
- b. the date the person becomes a Dependent of a participating Employee.

The surviving Dependents of an Employee who were covered on December 31, 1969, under the policy of group insurance superseded by this Plan shall be eligible to participate in this Plan.

The Surviving Spouse of an Employee who dies on or after January 1, 1970, and who has completed five years or more of creditable service, as defined under the University of Missouri Retirement, Disability and Death Benefit Plan, is eligible to participate, if such Spouse had been married to the Employee for one year or more (and, in addition, in the case of a Retired Employee who dies after retirement, if the Spouse was married to the Retired Employee on the day next preceding retirement), provided the Spouse was a Participant on the date of death. The Retired Employee's Dependent Children may participate, provided the Spouse makes written application for coverage for self and for such Dependent Children within thirty-one days after the date of the Employee's or Retired Employee's death.

In those cases where a Surviving Spouse who is entitled to Benefits remarries a Retired Employee, the Surviving Spouse may forfeit all entitlement to Benefits under the Plan resulting from the previous marriage, and shall immediately be entitled to Benefits based on the Benefits which the Retired Employee in the remarriage was entitled to receive under the Plan.

If the Spouse does not survive the Employee or Retired Employee, none of the Dependent Children shall be eligible to participate after the Employee's or Retired Employee's death.

The estate of the deceased Employee or Retired Employee shall have no interest in any Benefits payable with respect to any charges incurred by a Dependent after the death of the Employee or Retired Employee.

5. Dependent Participation

- a. A Dependent becomes a Participant as follows:
 - 1) on the same date that the Employee becomes a Participant, provided the Employee makes written application for such Dependent on or prior to the date the Employee became eligible to participate; or
 - 2) on the first of the month coincident with or next following the date that the Employee makes written application, or the date such Employee becomes a Participant, whichever is later, provided such Employee makes such written application for such Dependent within thirty-one days of the date on which the Dependent becomes eligible and not more than thirty-one days of the date of the Employee's return to work if the Employee is not Actively at Work.
- b. An Employee may have Dependents participate hereunder only by making written application for such coverage on forms prescribed by the University for that purpose, and by making the required contribution.
- c. Even though an Employee has Dependent Coverage, the Employee shall make written application to cover any additional Dependents. An Employee shall make specific written application to cover each such additional Dependent for whom the Employee wishes to provide

Dependent Coverage hereunder. Coverage will be provided only for those Dependents for whom the Employee has made such specific written application.

- d. Unless the Employee is eligible for the "special enrollment period" described in Section 500.010.C.9., an Employee who makes written application to cover a Dependent more than thirty-one days after the date the Dependent becomes eligible for coverage, and an Employee who reapplies for coverage after the coverage of Dependents has automatically ceased because of failure to make the required contribution, will be eligible to elect coverage during the next subsequent enrollment period or in accordance with Sections 500.010.C.5.a. or 500.010.C.10.
- e. Each Dependent who was covered under the policy of group insurance (or plan) superseded by this Plan on December 31, 1969, and who is eligible on January 1, 1970, shall continue to participate on and after January 1, 1970, subject to the terms and conditions of this Plan.
- f. Each Dependent who was covered under the group insurance superseded by this Plan on June 30, 1990, and who is eligible on July 1, 1990, shall continue to participate on or after July 1, 1990, subject to the terms and conditions of this Plan. If a Child or Children of an Employee are acquired while the Employee is participating but has no Dependent Coverage on Children, such Child or Children shall become Participants in accordance with Sections 500.010.C.5.a., 500.010.C.9. or 500.010.C.10.
- g. If both a husband and wife (or an Employee or Retired Employee and an individual who meets the criteria to be a Sponsored Adult Dependent under Section 500.010.B.77.) are participating as Employees or Retired Employees and one such individual ceases to participate by reason of a change in employment status, the other individual will become a Participant as a Dependent without any health requirement in accordance with Sections 500.010.C.5.a., 500.010.C.9. or 500.010.C.10.
- h. If both a husband and wife participate as Employees or Retired Employees and one has Children participating as Dependents, and if the Employee ceases to participate for any cause, the Children shall become Participants in accordance with Sections 500.010.C.5.a., 500.010.C.9. or 500.010.C.10.
- i. No Dependent Benefits shall be payable to an Employee or Retired Employee with respect to any Covered Charges if the Dependent is entitled to Employee or Retired Employee Benefits with respect to such Covered Charges by reason of having been covered for Benefits under this Plan as an Employee or Retired Employee.
- j. Except in the case of a Qualifying Family/Employment Status Change, an Employee may change his or her participation status with respect to Dependents only during the enrollment period designated by the University.

Approval of Retirement Plan Actuarial Assumptions – presented by Vice President Rodriguez and Treasurer Richards (information and slides on file)

Curator Covington recused herself from this discussion.

After Committee discussion, it was recommended that the Board not adopt the Retirement Plan Actuarial Assumptions.

Upon presentment to the Board, it was recommended by Vice President Rodriguez, endorsed by President Wolfe, moved by Curator Bradley and seconded by Curator Phillips, that the following action be approved:

that the Retirement Plan Actuarial Assumptions for the University of Missouri's Retirement Plan be changed as recommended in the Report on Actuarial Valuation Assumptions Study Based on 2008-2012 Actuarial Experience, prepared by the Segal Company.

Roll call vote of Board of Curators:

Curator Bradley voted yes.
Curator Covington recused herself.
Curator Cupps voted no.
Curator Downing voted no.
Curator Goode voted yes.
Curator Henrickson voted no.
Curator Phillips voted yes.
Curator Ponder voted no.
Curator Steward voted yes.

The motion did not pass with four votes in favor and four votes opposing.

The public session of the Board of Curators meeting recessed at 5:42 P.M.

University System Awards Reception, Dinner and Program (by Invitation)

6:00 – 8:45 P.M.

Thursday, June 13, 2013

Hosted by the Board of Curators and University of Missouri President

Location: Great Room of the Reynolds Alumni Center, University of Missouri campus, Columbia, Missouri

System Honorees (program on file)

Thomas Jefferson Award – Steven Watts, University of Missouri – Columbia

Student Entrepreneur of the Year – Casey F. Burton, Missouri University of Science and Technology

C Brice Ratchford Memorial Fellowship Award – Jerry W. Valentine, University of Missouri – Columbia

President's Award for Community Engagement – Wouter T. Montfrooij, University of Missouri – Columbia

President's Award for Cross-Cultural Engagement – Rita M. Csapo-Sweet, University of Missouri, St. Louis

President's Award for Leadership – Michael B. Kruger, University of Missouri – Kansas City

President's Award for Mentoring – Wayne Huebner, Missouri University of Science and Technology

President's Award for Service – Vicki L. Sauter, University of Missouri – St. Louis

President's Award for Early Career Excellence – Suzanna K. Long, Missouri University of Science and Technology

President's Award for Sustained Career Excellence – Bahram Mashhoon, University of Missouri – Columbia

President's Award for Innovative Teaching – Carl W. Hoagland, University of Missouri – St. Louis

President's Award for Economic Development – Marilyn J. Rantz, University of Missouri – Columbia

President's Award for Intercampus Collaboration – Debra A. Gayer (MU), Dawn L. Garzon (UMSL), Susann M. Farberman (UMSL), Diana B. King (UMKC) and Virginia R. Rahm (UMKC)

BOARD OF CURATORS MEETING – EXECUTIVE SESSION

A meeting of the University of Missouri Board of Curators was reconvened in executive session at 8:10 A.M., on Friday, June 14, 2013, in the Donrey Media Room 211 of the Reynolds Alumni Center on the University of Missouri campus, Columbia, Missouri, pursuant to public notice given of said meeting. Curator Wayne Goode, Chairman of the Board of Curators, presided over the meeting.

Present

The Honorable David R. Bradley
The Honorable Ann K. Covington
The Honorable Donald L. Cupps
The Honorable Don M. Downing
The Honorable Wayne Goode
The Honorable Pamela Q. Henrickson
The Honorable John R. Phillips
The Honorable J. Michael Ponder
The Honorable David L. Steward

Also Present

Mr. Stephen J. Owens, General Counsel
Ms. Cindy Harmon, Secretary of the Board of Curators
Miss Amy G. Johnson, Student Representative to the Board of Curators

Compensation and Human Resources Committee – Executive Session

Annual Performance Review for President Timothy M. Wolfe – presented by Chairman Cupps

It was recommended by the Compensation and Human Resources Committee, moved by Curator Cupps and seconded by Curator Downing, that, based on the review of the performance of Timothy M. Wolfe as President for the period February 15, 2012 through February 14, 2013, the Board of Curators approve an increase to his base salary of 2% retroactive to February 15, 2013.

Roll call vote of the Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.

Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

Amended Contract for Employment for President Timothy M. Wolfe (Housing) –
presented by Chairman Cupps

It was recommended by the Compensation and Human Resources Committee, moved by Curator Cupps and seconded by Curator Bradley, that the Contract for Employment of Timothy M. Wolfe, President, be amended by striking Paragraph 9 of said contract, providing for the use by the President of Providence Point as a residence, and substituting in lieu thereof a provision providing the President with a monthly housing allowance in the amount of \$2,400, less applicable withholding; said amendment subject to the approval of General Counsel as to legal form.

Roll call vote of the Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

The Board of Curators executive session meeting recessed at 9:45 A.M. on Friday, June 14, 2013.

BOARD OF CURATORS MEETING – PUBLIC SESSION

A meeting of the University of Missouri Board of Curators reconvened in public session at 9:50 A.M., on Friday, June 14, 2013, in Columns Room C, D & E of the Reynolds Alumni Center on the University of Missouri campus, Columbia, Missouri, pursuant to public notice given of said meeting. Curator Wayne Goode, Chairman of the Board of Curators, presided over the meeting.

Present

The Honorable David R. Bradley
The Honorable Ann K. Covington
The Honorable Donald L. Cupps
The Honorable Don M. Downing
The Honorable Wayne Goode
The Honorable Pamela Q. Henrickson
The Honorable John R. Phillips
The Honorable J. Michael Ponder
The Honorable David L. Steward

Also Present

Mr. Timothy M. Wolfe, President
Mr. Stephen J. Owens, General Counsel
Ms. Cindy Harmon, Secretary of the Board of Curators
Miss Amy G. Johnson, Student Representative to the Board of Curators
Dr. Gary Allen, Vice President for Information Technology
Dr. Brady J. Deaton, Chancellor, University of Missouri
Dr. Thomas F. George, Chancellor for University of Missouri – St. Louis
Dr. Steven Graham, Senior Associate Vice President for Academic Affairs
Mr. Stephen C. Knorr, Vice President for University Relations
Ms. Natalie "Nikki" Krawitz, Vice President for Finance and Administration
Mr. Leo E. Morton, Chancellor of University of Missouri – Kansas City
Dr. Michael F. Nichols, Vice President for Research and Economic Development
Dr. Betsy Rodriguez, Vice President for Human Resources
Dr. Cheryl B. Schrader, Chancellor for Missouri University of Science and Technology
Dr. Robert W. Schwartz, Chief of Staff
Mr. John Fougere, Chief Communications Officer, UM System
Media representatives

Academic, Student and External Affairs Committee

Chairman Steward provided time for discussion of committee business.

Information

1. University Relations Report (information on file)

Action

1. Naming Opportunity, Missouri S&T
2. Naming Opportunity, MU

Naming Opportunity, Missouri S&T – presented by Vice President Graham and Chancellor Schrader

It was recommended by Chancellor Cheryl B. Schrader, endorsed by President Tim M. Wolfe, recommended by the Academic, Student and External Affairs Committee, moved by Curator Steward and seconded by Curator Covington, that the following action be approved:

that the Missouri University of Science and Technology Steel Manufacturing Research Center be named the “Kent D. Peaslee Steel Manufacturing Research Center.”

Roll call vote of Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

Naming Opportunity, MU – presented by Vice President Graham and President Wolfe

President Wolfe was pleased to announce that upon Chancellor Brady Deaton’s retirement on November 15, 2013, he would continue an affiliation with the University of Missouri as head of a new Institute – the Brady and Anne Deaton Institute for University Leadership in International Development.

Chancellor Brady Deaton presented information regarding his ideas for the new Institute.

It was recommended by President Timothy M. Wolfe, recommended by the Academic, Student and External Affairs Committee, moved by Curator Steward and

seconded by Curator Downing, that the following action be approved:

that the University of Missouri establish the Brady and Anne Deaton Institute for University Leadership in International Development.

Roll call vote of Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

General Business

UM System President's Report – presented by President Wolfe (slides on file)

President Wolfe gave remarks recognizing Chancellor Brady Deaton for his service to the University, organization changes for UM System administration, strategic planning and communications across the state.

Information Technology Annual Report – presented by Vice President Allen (slides on file)

Vice President Allen gave remarks regarding UM System wide information technology collaborations and shared service and operational excellence initiatives.

Consent Agenda

It was endorsed by President Wolfe, moved by Curator Bradley and seconded by Curator Henrickson, that the following items be approved by consent agenda:

1. Minutes, April 11-12, 2013 Board of Curators Meeting
2. Minutes, April 11-12, 2013 Board of Curators Committee Meetings
3. Minutes, May 6, 2013 Board of Curators Special Meeting
4. Degrees, Summer Semester 2013 for all campuses

5. Approval of Spinal Cord Injuries and Congenital or Acquired Disease Processes Research Program Proposals
6. Amendment, Collected Rules and Regulations 300.020, Faculty Bylaws of the University of Missouri-Kansas City, UMKC
7. Amendment, Collected Rules and Regulations 80.010, Procurement, UM
8. Amendment, Collected Rules and Regulations 140.013, Investment Policy for Endowment Pool and 140.015, Investment Policy for Retirement, Disability and Death Plan, UM
9. Project Approval, Bullman Multi-Purpose and Student Recreation Center HVAC Renewal, S&T

Roll call vote of the Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

1. Minutes, April 11-12, 2013 Board of Curators meeting – as provided to the curators for review and approval.
2. Minutes, April 11-12, 2013 Board of Curators Committee meetings – as provided to the curators for review and approval.
3. Minutes, May 6, 2013 Board of Curators Special meeting – as provided to the curators for review and approval.
4. Degrees, Summer Semester 2013 for all campuses –

That the action of the President of the University of Missouri System in awarding degrees and certificates to candidates recommended by the various faculties and committees of the four University of Missouri System campuses who fulfill the requirements for such degrees and certificates at the end of the Summer Semester 2013, shall be approved, and that the lists of said students who have been awarded degrees and certificates be included in the records of the meeting.

5. Approval of Spinal Cord Injuries and Congenital or Acquired Disease Processes Research Program Proposals –

That the research proposals approved by the Spinal Cord Injuries Research Program Advisory Board were approved as presented.

Proposals Recommended For Funding
2013

I. Effect of Wheelchair Training for New Manual Wheelchair Users (Year 2)

Kerri Morgan
Program in Occupational Therapy
Washington University School of Medicine

Total funding recommended \$96,844

II. Characterization of a mouse model of X-linked Spinal Muscular Atrophy

Michael Petris
Department of Biochemistry
University of Missouri-Columbia

Total funding recommended \$224,057

III. Astrocytes as novel determinant of disease progression in spinal muscular atrophy

Hansjorg Rindt
Department of Veterinary Pathobiology
University of Missouri-Columbia

Total funding recommended \$125,787

6. Amendment, Collected Rules and Regulations 300.020, Faculty Bylaws of the University of Missouri – Kansas City, UMKC

**Collected Rules and Regulations
Chapter 300: Faculty Bylaws**

300.020 Faculty Bylaws of the University of Missouri-Kansas City

Bd. Min. 10-12-73; Amended Bd. Min. 11-18-77, 6-27-80, 11-19-82, 12-7-84, 6-6-08, 10-20-11, 06-14-13.

- A. Purpose of the Governing Practices** -- The purpose of these Bylaws is to establish a body which represents the voice of the Faculty and to establish an effective vehicle for the needs and concerns of the Faculty to be presented for discussion and debate. These Bylaws assume that Faculty may participate in academic decision processes. The right of faculties to organize and to carry out the responsibilities and functions delegated to them by the Board is recognized in Section [10.030.D.2](#).
- B. Membership** -- The University of Missouri-Kansas City Faculty shall consist of the President of the University, the Chancellor, and all persons on benefits-eligible academic appointments.
1. **Voting Faculty** -- For purposes of campus- and University-wide elections, those individuals eligible to vote shall consist of all persons who hold regular academic appointments, either tenure track or tenured; non-tenure track (NTT) faculty, as defined in Section 340.070E of the Collected Rules and Regulations; including Librarians I, II, III and IV; provided that any of the foregoing must be .75 FTE or greater and must hold an appointment of at least nine (9) months duration. In addition, faculty in any academic unit whose percentage of effort in routinely overseeing and participating in the instructional mission of the University is comparable to the foregoing, but who are not paid directly by UMKC, shall be eligible to vote in campus- and University-wide elections. Criteria utilized by such units in determining its faculty whose percentage of effort in routinely overseeing and participating in the instructional mission of the University is comparable to the foregoing must be approved in advance by the Faculty Senate. The foregoing voting eligibility rules are not intended to define the eligibility of faculty to vote in school or college elections and the eligibility to vote in such school or college elections shall be defined by the rules of such school or college. .
 2. **Power** -- The powers of the voting Faculty of UMKC include authority in all matters pertaining to education, research and service as granted to the Faculty by the Board of Curators. It shall have such other authority as is delegated to it by the President and/or the Chancellor. These powers are vested in the UMKC Senate unless limited by the University's Collected Rules and Regulations.
 3. **Meetings** -- There shall be at least one general meeting of the voting Faculty per year. The Chairperson of the Faculty Senate shall give notice of and shall preside at such meetings. The Faculty Senate shall

report to the voting Faculty at such meetings and shall invite input and advice from the Faculty on issues of concern.

- a. Additional meetings of the voting Faculty may be called by the Chancellor or the Chairperson of the Senate, or upon the request of 20 percent of the Senate or upon the written request of 10 percent of the voting Faculty.
- b. Within ten days of the notice of placing of an item on the Senate agenda, upon the request of a majority of the Senate or upon the written request of 10 percent of the voting Faculty, the Chairperson of the Senate shall transfer the item from the Senate agenda to the agenda of a meeting of the voting Faculty for discussion and action by that group.

C. Colleges, Schools, Conservatories, Libraries and other units

1. **Definitions** -- A college, school or conservatory (hereinafter colleges, schools, or conservatories will be referred to as schools) is a unit which offers or supervises programs of study leading to baccalaureate or advanced degrees. A unit or combination of units, offering courses which do not lead to a degree, is not a school.
2. **Schools** -- Presently existent schools are: College of Arts and Sciences, School of Business and Public Administration, School of Biological Sciences, School of Computing and Engineering, School of Dentistry, School of Education, School of Law, School of Pharmacy, Conservatory of Music and Dance, School of Graduate Studies, School of Medicine, School of Nursing and Health Studies.
3. **Libraries** -- Libraries include: Miller Nichols Library, Leon E. Bloch Law Library, Health Sciences Library, Dental Library.

D. Faculties of the Schools

1. **Membership**
 - a. The Faculty shall consist of the President of the University, the Chancellor, the Dean of the School, and all persons with academic appointments who are assigned to the school. The Faculty shall be primarily responsible for the quality of the school's undertakings. Other non-regular, administrative or extension personnel who hold appointments within the school may be added to the voting Faculty of the school as defined by the school's bylaws.
 - b. Faculty whose work is divided between programs of two or more schools (other than the School of Graduate Studies) may participate in deliberations of these schools, but shall be a voting member of only the school in which they hold their primary appointment.

- c. The Faculty of the School of Graduate Studies consists of UMKC Faculty (Section 300.020.B) who hold graduate or doctoral appointments. Faculty of the School of Graduate Studies may vote and be elected to offices or committees in this school as well as to offices and committees of the school in which they hold their primary appointment.
2. **Meetings** -- At least four regular meetings of the Faculty of a School shall be called annually according to the procedures adopted by the school. A special meeting may be called at any time by the Chancellor or by the Dean of the school and must be called if requested by one-fourth of the membership of the Faculty or as otherwise provided by the Bylaws of the school. Written notification of any meeting of a Faculty shall be mailed at least three days prior to the meeting to all members of the Faculty except in the case of an emergency meeting in which case any action taken becomes an item for reconsideration on the agenda at the next regularly scheduled meeting of that Faculty. Each Faculty shall determine its own definition of a quorum of its membership and decide upon a procedure which enables the membership of that particular Faculty to carry out its business in a responsible as well as efficacious manner. Copies of the minutes of each meeting shall be supplied to each Faculty member of the school and to the Chancellor.
3. **Powers** -- The Faculty of a school shall establish procedures and policies governing the work of the school.
 - a. **Bylaws** -- Each school shall have a written set of Bylaws prepared in such a manner as is determined by the Faculty of that school. These Bylaws shall implement the provisions of this section of the UMKC Bylaws. A copy of these Bylaws and any subsequent amendment thereto shall be filed with the Chancellor and the Secretary of the Senate. The Bylaws shall not contain any provisions inconsistent with the UMKC Bylaws.
 - b. **Curriculum and Degrees** -- The Faculty of a school and/or department, together with the appropriate administrative officers, shall be responsible for recommending all academic courses and programs and for recommending candidates for degrees.
 - (1) The Faculty of each school, through its dean, shall file with the registrar a copy of its admission and retention standards, its degree requirements, and individual course additions and deletions. The registrar shall distribute copies of such standards, requirements and course additions and deletions to the Chancellor and the deans of the other schools.
 - c. **Selection and Retention of Academic Faculty** -- The Faculty of each school and/or department or other teaching unit shall

establish criteria and specify procedures to be followed, as a general policy, in recommending the selection, retention and promotion of members of the Faculty.

- (1) As a general policy all academic staff appointments to a School Faculty shall be made after the Dean of the school has received a written recommendation from an appropriate department committee or equivalent teaching unit. The Dean shall forward the recommendation of the committee with his/her own recommendation to the Chancellor.
 - (2) Recommendations for promotion and/or tenure, and to reappoint or not to reappoint, shall be made by the Dean of the school after receiving a written recommendation from an appropriate department committee or equivalent teaching unit. The Dean shall forward the recommendation of the committee with his/her own recommendation to the Chancellor.
- d. **Selection of Deans** -- As a general policy, recommendations for the selection of deans in any school shall be made by the Chancellor after consultation with an appropriate committee which will include members of the Faculty of that school. This consultation procedure shall not abrogate the final responsibility and authority of the Chancellor to recommend a dean's appointment or replacement.
- e. **Selection of Department Chairs, School Division Chairs and Directors** -- As a general policy, the Dean shall recommend appointment or replacement of a department Chairperson, school division Chairperson or Director only after consultation with the Faculty of the department, subdivision or sub-unit concerned. This consultation procedure shall not abrogate the final responsibility and authority of the Dean to recommend the appointment or replacement of a department Chairperson, school division Chairperson, or Director.
- f. **Evaluation of Academic and Professional Administrators** -- The voting Faculty of each school shall participate in the regular evaluation of their academic and professional administrators.
- (1) A School Faculty, by formal action at a regular meeting, or through its elected School Executive Committee or such other elected committee as is designated by the school in its Bylaws, may report on matters of common concern through the Executive Committee of the Senate, or directly to the

Chancellor, and through him to the President of the University and the Board of Curators, and may make recommendations pertaining to any feature of the functioning of UMKC or the University.

- g. **Budget Committee** -- Each school shall have an elected budget committee composed of representative Faculty. The Budget Committee shall receive from the Dean in timely fashion all information regarding the budget process; shall share that information with the Faculty of the school, and shall advise the Dean regarding objectives and funding priorities as well as necessary allocations to achieve those objectives.

E. The UMKC Faculty Senate

1. **Composition of the Senate** -- The Senate shall consist of two elected Faculty representatives from the School of Business and Public Administration, the School of Biological Sciences, the School of Computing and Engineering, the School of Dentistry, the School of Education, the School of Law, the Conservatory of Music and Dance, the School of Pharmacy, School of Nursing and Health Studies, School of Medicine and the Library. The College of Arts and Sciences shall have one representative from each of its three divisions plus one additional member selected by the college. The Chairperson of the Steering Committee of A & S shall be a member of the Senate by virtue of his/her office. The Senate may elect to accept as non-voting members representatives of major campus constituencies, such as the Staff Council and the Part-Time Faculty Association.
 - a. In order that the Senate maintain close ties with the units, it is recommended that one representative from each unit be the Faculty Chairperson of the unit or member of its executive body.
 - b. Those who are eligible to serve as representatives shall be UMKC voting Faculty. Members of the Executive Committee must be regular Faculty.
 - c. Elected representatives to the Intercampus Faculty Council, known as IFC, shall be members of the UMKC Faculty Senate for the duration of their terms.
 - d. Officers of the Senate shall be members of the Senate for the duration of their terms.
2. **Terms of Office and Elections**
 - a. Senate members shall be elected early in the winter semester, to take office at the first Senate meeting the following fall.
 - b. Senate officers shall serve staggered, three-year terms.
 - c. IFC members shall serve three-year terms and shall be Senate members for the duration of their terms on the IFC.
 - d. Elected Faculty representatives shall serve two- year terms, except for

- representatives who serve by virtue of their membership on a unit executive body; they shall serve one-year terms.
- e. Members may succeed themselves without restriction. Officers are limited to two consecutive terms.
 - f. Should an elected representative be unable to complete his/her term, that vacancy shall be filled by an election as soon as possible.
 - g. Senate members shall be elected by the represented units.
 - h. Senate officers and IFC representatives shall be elected by the voting Faculty by secret ballot.
3. **Powers** -- The power of the voting Faculty of UMKC, as defined in CRR 300.020.B.2, shall be delegated to the Faculty Senate. The Senate may make recommendations pertaining to any feature of the functioning of the University to the Chancellor, and through the Chancellor to the President and/or the Board of Curators.
- a. The Senate, in cooperation with the administration, shall provide for Faculty participation in the regular evaluation of those campus-wide officers of the administration included in the Academic Tenure Regulations.
 - b. The Senate, by formal action at a meeting of the general Faculty, or at a Senate meeting, may report to the Chancellor on matters of common concern, and through the Chancellor or through the Intercampus Faculty Council to the President of the University and the Board of Curators, and may make recommendations pertaining to any feature of the functioning of UMKC or the University.
 - c. The Senate shall serve as a liaison between the Faculty and the Chancellor and the campus' administrative officers. The Senate shall serve as a liaison between the Faculty and the Intercampus Faculty Council. The Senate shall serve as a liaison between the Faculty and the Student Government Association and the Intercampus Student Council.
4. **Meetings**
- a. The meeting schedule for each semester shall be set by the Chairperson of the Senate; however, additional meetings may be called by the Chairperson, at the request of the Chancellor, or at the request of 20 percent of the Senate or 10 percent of the Voting Faculty.
 - b. Any Faculty member may appear before the Senate to express his/her concerns by sending a written request to the Senate Chairperson, who shall thereupon notify the Faculty member of the time and place of the next meeting and invite him/her to attend.

5. **Officers of the Faculty Senate** -- Elected officers shall be the Chairperson, Vice Chairperson and Secretary. They shall be elected for three-year terms in a manner consistent with the Bylaws. Upon their election, the Chairperson-elect, Vice Chairperson-elect, Secretary-elect, and IFC-elect, if not already members of the Senate, shall be eligible to participate ex officio in the deliberations of the Senate.
- a. The Chairperson is the presiding officer of the Senate. It shall be the responsibility of the Chairperson to set the meeting schedule of the Senate and of the general Faculty meetings. The Chairperson shall be responsible for setting the agenda for both the Senate meetings and the general Faculty meetings, and for their distribution to all members of the voting Faculty. The agenda shall be set after consultation with the Senate Executive Committee. The Chairperson shall serve on the IFC during his/her term in office.
 - b. The Vice Chairperson shall preside in the absence of the Chairperson.
 - c. The Secretary shall record the minutes of the general Faculty meetings and the Senate meetings and shall distribute them to all Faculty members and shall make them available on-line through the Senate website. The Secretary shall excuse absences of Senate members and shall maintain attendance records.
 - d. Should a Chairperson resign, that office will be assumed by the Vice Chairperson for the remainder of the year. Should the resignation occur during the first year of the term, a special election for Chairperson will be held to fill the one-year vacancy.
 - e. In the case of vacancies for all other officer positions, a ballot containing the names of the Senate members will be sent to all voting Faculty. The person getting the highest number of votes will assume the office for the duration of the unexpired term. Should that person be unable to serve, the person with the next highest number of votes will assume the office.
 - f. In the case of an IFC vacancy, nominations will be requested from among current or prior Senate members, and a ballot containing the names of nominees will be sent to all voting Faculty. The person getting the highest number of votes will assume the office for the duration of the unexpired term. Should that person be unable to serve, the person with the next highest number of votes in that election will assume the office.

6. **Committees**

- a. The Senate Executive Committee shall consist of all campus-wide elected members of the Senate.
- b. The Senate may establish standing committees as deemed necessary, in accordance with the Senate Standard Operating Procedures. They will report to the Senate, and through the Senate to the Faculty at regular general Faculty meetings.
- c. The Senate may establish ad hoc committees as deemed necessary. They will report to the Senate, and through the Senate to the Faculty at a regular general Faculty meeting.
- d. When committee nomination requests are received from the campus administration or from the Student Government Association, the council will designate nominees if it deems such action to be appropriate, following all applicable Collected Rules and Regulations. The Senate shall report at the next general Faculty meeting all requests and actions taken.
- e. Each committee shall annually submit a written review of its actions which will be forwarded to the Faculty along with the minutes of the Senate's final meeting of the year.

7. Bylaws and Amending Procedures

- a. These Bylaws are subordinate to all Bylaws, rules, regulations and policies established by the University or adopted by The Curators of the University of Missouri.
- b. Ratification of amendments
 - (1) Amendments must have two readings in the Senate and be passed by secret ballot after the second reading.
 - (2) Amendments, passed by the Senate, must be circulated together with a mail-in ballot to all voting Faculty two weeks before the general Faculty meeting at which they are to be presented officially.
 - (3) Amendments must be ratified by a majority vote of mail-in ballots with a receipt deadline of 2 weeks after the general Faculty meeting.
- c. These Bylaws and amendments thereto become effective upon ratification by the Board of Curators.
- d. **Standard Operating Procedures** -- The voting Faculty shall maintain Standard Operating Procedures consistent with these Bylaws.

7. Amendment Collected Rules and Regulations 80.010, Procurement, UM

Collected Rules and Regulations

Chapter 80: Purchasing

80.010 Procurement

Bd. Min. 6-29-79; 6-15-56, p. 9,439-9,441; Ex. Min. 2-18-70, p. 2,384; Bd. Min. 10-31-86; Bd. Min. 5-5-89, 5-6-93; Amended Bd. Min. 12-15-95; Bd. Min. 9-27-01; Bd. Min. 3-22-02; Bd. Min. 4-1-04; Bd. Min. 6-14-13.

- A. The President shall have the authority to purchase supplies, equipment, and services in conformance with these Collected Rules and Regulations.

- B. The President shall also be authorized to:
 - 1. Participate in cooperative purchasing arrangements with any public entity or group, and execute purchase contracts for the University under these arrangements, provided the procurement methods and procedures of such entity or group are consistent with University policies; and to procure supplies and equipment for use at the University of Missouri-Columbia Hospital and Clinics at contract prices arrived at through bids or negotiations by the University Hospital Consortium of Atlanta, GA, when in the best interests of the University.
 - 2. Reject bids, reissue bids, or to purchase items or services, on the open market if they can be purchased at a better price than bid.
 - 3. Contract with other schools and colleges and with outside agencies for educational and training programs and for the use of facilities and instructors for regular University or extension courses.
 - 4. Contract with authors relating to publications of books by the University Press.
 - 5. Contract for the conduct of intercollegiate athletic programs.
 - 6. Execute agreements for all intercollegiate athletic contracts for the scheduling of athletic contests, for broadcasting and telecasting athletic contests and for programs and concessions covering athletic contests.
 - 7. Contract for purchase of items for resale in the normal operations of the University's bookstores or other auxiliary services, and waive bidding

requirements when it is in the best interests of the University.

- C. Board of Curators approval shall be obtained for purchases for unique items or services which do not lend themselves to competitive bidding when the cost is more than \$500,000, except for proprietary licenses or maintenance agreements necessary for operation of University computing equipment or turbine and generator Original Equipment Manufacturers (OEM) for parts and labor, in which case the President shall be authorized to enter into these contracts.
- D. Competitive bids are not required for items and services valued at \$10,000 or less, if there are no savings or advantages if bid.
- E. Competitive bids shall be obtained when the estimated value exceeds \$10,000. However, purchases for unique items and services which do not lend themselves to competitive bidding may be purchased on a negotiated basis without bidding.
- F. Purchases estimated to cost \$25,000 or more shall be advertised in two newspapers in such places as are most likely to reach prospective bidders, or advertised in an electronic medium if this method is more advantageous in soliciting competitive bids.
- G. Preference shall be given to Missouri products, materials, services and firms when the goods or services to be provided are equally or better suited for the intended purpose and can be obtained without additional cost. Firms are considered "Missouri firms" if they maintain a regular place of business in the State of Missouri.
- H. A quarterly report shall be provided to the Board for purchases and competitively bid services contracts when the cost is more than \$1,000,000; equipment leases when the total cost is more than \$1,000,000 or when the lease term is more than five years.

8. Amendment, Collected Rules and Regulations 140.013, Investment Policy for Endowment Pool and 140.015, Investment Policy for Retirement, Disability and Death Plan, UM

Collected Rules and Regulations

Chapter 140: Investments

140.013 Investment Policy for Endowment Pool

Bd. Min 7-22-11. Revised in entirety, Bd. Min. 6-26-12. (Note: Board approval on 6-26-12 replaced previous rules 140.010, 140.011, 140.012 and 140.013 with new language and reissued new rules 140.010 through and including 140.016.); Bd. Min. 6-14-13.

- A. **Introduction** -- The University's Endowment Pool contains gifts, bequests and other funds directed to be used to support a University program in perpetuity. Some donors require such a commitment as a condition of their gift ("true endowments"). Also, funds may be assigned to function as endowments by the Board of Curators or by University administration ("quasi endowments").
- B. **Responsibilities and Authorities** – See CRR 140.010 “*Policy for Management and Oversight of Selected University Investment Pools.*”
- C. **Investment Objectives** -- The Endowment Pool must be managed to provide ongoing support of endowed programs in perpetuity, in conformance with donor stipulations. To accomplish this, investment returns, net of inflation, should be sufficient over time to cover annual spending distributions while maintaining or growing the underlying purchasing power of each endowed gift.
- D. **Authorized Investments** – The Endowment Pool shall be invested in externally managed funds, consistent with the guidelines established in CRR 140.011 “*Policy for Investment Manager Selection, Monitoring and Retention,*” in the following asset sectors:

Sector	Target Asset	Allowable Range
Global equity	49%	44% - 59%
Absolute return strategies	12%	8% - 18%

Private equity	10%	4% - 12%
Real estate	8%	4% - 12%
Global fixed income	5%	3% - 8%
High-yield fixed income	5%	3% - 8%
Floating rate bank loans	2%	1% - 5%
Global inflation-linked bonds	2%	1% - 5%
Emerging markets debt	7%	4% - 10%
Risk parity	0%	0% - 7%
Total	100%	

Sector allocations shall be monitored on an ongoing basis as changes in market behavior may result in variations from the target asset mix. Rebalancing of the portfolio shall be considered at least annually, and more often if necessary to maintain allocations within the allowable range. The need to rebalance shall take into account any logistical issues associated with fully funding a particular asset sector, as well as any tactical decisions to overweight or underweight a particular asset sector based on current market conditions.

Actual sector allocations shall not fall outside of the allowable ranges, with the exception of violations caused solely by periods of extreme market distress, when it may not be possible or advisable to immediately bring such allocations back to within the allowable ranges.

- E. **Spending Policy** – To provide ongoing support to endowed programs in perpetuity, the spending policy must be managed in conjunction with investment objectives and other factors in compliance with applicable law, such that the spending rate plus an inflationary assumption shall not exceed expected investment returns over time. At minimum, the spending policy should be reviewed in conjunction with asset/liability studies performed by the Investment Consultant not less than once every three years.
1. The formula used to determine the Endowment Pool spending distribution for each fiscal year shall apply a rate of 4.5% to a base equal to the 28-quarter trailing average of market values as of December 31st of the prior fiscal year. Endowment spending distributions shall be paid on a monthly basis.

The transition of the rate from 5.0% to 4.5% shall be accomplished in a methodical manner over a period not to exceed the seven years ended June 30, 2019. In no case shall the transition from 5.0% to 4.5% cause the actual spending distribution to decrease from one year to the next during the transition phase.

2. In addition to the spending distribution noted above, the President shall have the discretion to distribute from the Endowment Pool an administrative fee each fiscal year to be used for support of internal endowment administration and development functions. Such administrative fee shall be calculated by applying a rate of up to 1% to a base equal to the 28-quarter trailing average of market values as of December 31st of the prior fiscal year. The administrative fee shall be paid on a monthly basis.
3. The spending policy, spending distribution formula and administrative fee may be adjusted over time by the Board to respond to general economic conditions and other factors as appropriate and in compliance with applicable law.
4. Implementation of the spending policy is delegated to the Vice President for Finance and Administration or her/his designees.

Collected Rules and Regulations

Chapter 140: Investments

140.015 Investment Policy for Retirement, Disability and Death Plan

Bd. Min. 6-26-12; Bd. Min. 6-14-13.

- A. **Introduction** -- The University's Retirement, Disability and Death Benefit Plan ("Plan") was established to provide retirement income and other stipulated benefits to qualified employees in amounts and under the conditions described in the plan. A Trust was established in 1958 and is being funded to provide the financial security of those benefits.
- B. **Responsibilities and Authorities** – See CRR 140.010 "*Policy for Management and Oversight of Selected University Investment Pools.*"
- C. **Investment objectives** -- The primary objective to be achieved in the active management of Trust assets is to provide for the full and timely payment of retirement, disability and death benefits to qualified

employees. In order to fulfill this objective the University must maintain a prudent actuarially sound funding of the Plan's liabilities. This funding requirement is derived from two principal sources; the total investment return on Trust assets and the amount of University contributions. In order to minimize the University's required contributions it is imperative that total investment returns be maximized.

- D. **Authorized Investments** – The Plan shall be invested in externally managed funds, consistent with the guidelines established in CRR 140.011 “*Policy for Investment Manager Selection, Monitoring and Retention,*” in the following asset sectors:

Sectors	Target Asset Mix	Allowable Range
Global equity	45%	40% - 55%
Absolute return strategies	8%	5% - 13%
Private equity	10%	4% - 12%
Real estate	6%	3% - 9%
Global fixed income	7%	4% - 10%
High-yield fixed income	10%	7% - 13%
Floating rate bank loans	4%	2% - 7%
Global inflation-linked bonds	4%	2% - 7%
Emerging markets debt	6%	3% - 9%
Risk parity	0%	0% - 7%
Total	100%	

Sector allocations shall be monitored on an ongoing basis as changes in market behavior may result in variations from the target asset mix. Rebalancing of the portfolio shall be considered at least annually, and more often if necessary to maintain allocations within the allowable range. The need to rebalance shall take into account any logistical issues associated with fully funding a particular asset sector, as well as any tactical decisions to overweight or underweight a particular asset sector based on current market conditions.

Actual sector allocations shall not fall outside of the allowable ranges, with the exception of violations caused solely by periods of extreme market distress, when it may not be possible or advisable to immediately bring such allocations back to within the allowable ranges.

- E. **Other** – The Board of Curators delegates to the President of the University the following responsibilities with respect to the Plan:
1. Recommend contributions to the Plan.
 2. Recommend annuity, mortality and other tables as may be useful in actuarial determination.
 3. Recommend actuarial valuations made by experts retained for that purpose.
 4. Maintain data necessary for actuarial valuations of the assets of the Plan.
 5. Maintain accurate records for the Plan.

9. Project Approval, Bullman Multi-Purpose and Student Recreation Center HVAC Renewal, S&T

Project was approved for the Bullman Multi-Purpose and Student Recreation Center HVAC Renewal, for Missouri University of Science and Technology.

Funding of the project budget is from:

Campus Maintenance and Repair Funds	\$ 9,040,158
Anticipated US Treasury Renewable Energy Grant	630,000
Total Funding	\$ 9,670,158

Good and Welfare

Draft July 19, 2013 Board of Curators meeting agenda – no discussion (on file)

Resolution, Natalie “Nikki” M. Krawitz, Vice President for Finance and Administration

It was endorsed by President Wolfe, recommended by Chairman Goode, moved by Curator Cupps and seconded by Curator Downing, that the following resolution recognizing the dedicated service of Natalie “Nikki” Krawitz to the University of Missouri System be approved:

RESOLUTION

WHEREAS, Natalie “Nikki” M. Krawitz served with distinction as Vice President for Finance and Administration of the University of Missouri System from February 2002 until June 30, 2013; and

WHEREAS, she also served with distinction as Controller from 1998 to 2002; Interim Executive Director, Administrative Systems Project in 1998; and Consultant to the Executive Vice President of Administrative Services from 1996 to 1997; and

WHEREAS, in everything she does, Nikki draws in equal measure from her sharp analytic skills and her deep understanding of academic institutions. She is forthright, truthful and transparent at all times; and

WHEREAS, despite challenging budget environments, Nikki and her team found creative ways to finance critical building renovations and new facilities on all four campuses that resulted in improved space for teaching, research and patient care; and

WHEREAS, under Nikki’s leadership, the university achieved millions of dollars in cost savings through administrative streamlining and revenue generation through investment management. She also led a task force to rejuvenate the UM Health System; and

WHEREAS, Nikki has a true love of the job that she does. This is apparent in the enthusiasm she displays when talking about her position, the effort she puts into all the projects that she manages and the passion she shares with others. She regularly presented workshops on higher education budgeting and finance and authored and contributed to numerous publications on budgeting, business process redesign and administration streamlining; and

WHEREAS, current and former peers and direct reports commented that Nikki is smart, tough and a generous mentor; and

WHEREAS, Nikki shared her leadership skills with organizations such as the TIF Commission for the City of Columbia, as well as serving on the Stephens College Board of Trustees since 2003. She has also served on the advisory boards for the MU School of Accountancy, Central Missouri Sheltered Enterprises and Comprehensive Human Services; and

WHEREAS, despite her many accomplishments, Nikki does not seek recognition or attention and not only shares her talents, but also taps her vast personal network to help our community; and

WHEREAS, Nikki has been involved in higher education for more than thirty years and is a strong advocate for higher education in Jefferson City and across the nation. One colleague said, “At a time when many business officers shy away from public scrutiny of their institutions’ budgetary practices, Ms. Krawitz has emerged as a champion for the

University of Missouri System, openly discussing and explaining the complexities of the University's budget and noting all that the University has done to tighten its belt.”; and

WHEREAS, Nikki led an effort to revamp the English language through daily usage of the strategic terms “themes,” “levers,” and “ideation”; and

WHEREAS, Nikki has received many local and national awards, often at the pinnacle of her profession, including the Distinguished Business Officer Award from the National Association of College and University Business Officers for her outstanding contributions to business and financial management in higher education; the Columbia Chamber of Commerce Women's Network annual Athena Award, which recognizes women leaders who display excellence and improve the quality of life in their community and help women reach their full leadership potential and recently the Chancellor Medal from Missouri University of Science and Technology for outstanding contributions to the well-being, growth and development of the University; and

WHEREAS, Nikki demonstrated her love of travel and her family, and she will now have more time for both:

NOW, THEREFORE, BE IT RESOLVED, that the Board of Curators, on behalf of the students, faculty, staff and alumni of the University of Missouri, and on behalf of the citizens of the State of Missouri, does hereby adopt this resolution in appreciation of the dedicated and devoted service of Natalie “Nikki” M. Krawitz; and

BE IT FURTHER RESOLVED, that the Secretary of the Board of Curators cause this resolution to be spread upon the minutes of this meeting and a duly inscribed copy thereof be furnished to Natalie “Nikki” M. Krawitz.

Roll call vote:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

It was moved by Curator Henrickson and seconded by Curator Downing, that the meeting of the Board of Curators, June 13-14, 2013, be adjourned.

Roll call vote:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

There being no other business to come before the Board of Curators, the public session of the Board meeting was adjourned at 11:50 A.M. on Friday, June 14, 2013.

BOARD OF CURATORS MEETING – EXECUTIVE SESSION

A meeting of the University of Missouri Board of Curators was reconvened in executive session at 12:30 P.M., on Friday, June 14, 2013, in the Donrey Media Room 211 of the Reynolds Alumni Center on the University of Missouri campus, Columbia, Missouri, pursuant to public notice given of said meeting. Curator Wayne Goode, Chairman of the Board of Curators, presided over the meeting.

Present

The Honorable David R. Bradley
The Honorable Ann K. Covington
The Honorable Donald L. Cupps
The Honorable Don M. Downing
The Honorable Wayne Goode
The Honorable Pamela Q. Henrickson
The Honorable John R. Phillips
The Honorable J. Michael Ponder
The Honorable David L. Steward

Also Present

Mr. Timothy M. Wolfe, President
Mr. Stephen J. Owens, General Counsel
Ms. Cindy Harmon, Secretary of the Board of Curators
Miss Amy G. Johnson, Student Representative to the Board of Curators

Vice President Graham joined the meeting.

Curators' Teaching Professor, Michael J. Budds, MU – presented by Vice President Graham (information on file)

It was recommended by Chancellor Brady Deaton, endorsed by President Timothy M. Wolfe, recommended by the Academic, Student and External Affairs Committee, moved by Curator Steward, and seconded by Curator Cupps, that the following action be approved:

that upon the recommendation of Chancellor Deaton, the Provost, and the Director of the School of Music, it is recommended that Professor Michael J. Budds be named to the position University of Missouri Curators' Teaching Professor, effective September 1, 2013. Professor Budds will receive a \$10,000 annual stipend as long as he holds this position. \$5,000 will go to increased compensation (salary and benefits less applicable taxes) with the remaining \$5,000 available for professional expenses associated with his teaching, research, or creative activities. In accordance with Collected Rules and Regulations, 320.070, this appointment is for a period of five years which may be renewed at the discretion of the Chancellor.

Roll call vote of Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

Campus recommendation materials are on file in the office of Academic and Student Affairs, UM System.

Curators' Professor, Mark Burkholder, UMSL – presented by Vice President Graham
(information on file)

It was recommended by Chancellor Thomas F. George, endorsed by President Timothy M. Wolfe, recommended by the Academic, Student and External Affairs Committee, moved by Curator Steward, and seconded by Curator Cupps, that the following action be approved:

that upon the recommendation of Chancellor George, the Provost, and the Dean of the College of Arts and Science, it is recommended that Professor Mark A. Burkholder be named to the position University of Missouri Curators' Professor, effective September 1, 2013. Professor Burkholder will receive a \$10,000 annual stipend as long as he holds this position. \$5,000 will go to increased compensation (salary and benefits less applicable taxes) with the remaining \$5,000 available for professional expenses associated with his teaching, research, or creative activities. In accordance with Collected Rules and Regulations, 320.070, this appointment is for a period of five years which may be renewed at the discretion of the Chancellor.

Roll call vote of Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

Campus recommendation materials are on file in the office of Academic and Student Affairs, UM System.

Curators' Professor, Hung Gay Fung, UMSL – presented by Vice President Graham
(information on file)

It was recommended by Chancellor Thomas F. George, endorsed by President Timothy M. Wolfe, recommended by the Academic, Student and External Affairs Committee, moved by Curator Steward, and seconded by Curator Cupps, that the following action be approved:

that upon the recommendation of Chancellor George, the Provost, and the Dean of the College of Business Administration, it is recommended that Professor Hung-Gay Fung be named to the position University of Missouri Curators' Professor, effective September 1, 2013. Professor Fung will receive a \$10,000 annual stipend as long as he holds this position. \$5,000 will go to increased compensation (salary and benefits less applicable taxes) with the remaining \$5,000 available for professional expenses associated with his teaching, research, or creative activities. In accordance with Collected Rules and Regulations, 320.070, this appointment is for a period of five years which may be renewed at the discretion of the Chancellor.

Roll call vote of Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

Campus recommendation materials are on file in the office of Academic and Student Affairs, UM System.

Curators' Professor, Janet Lauritsen, UMSL – presented by Vice President Graham
(information on file)

It was recommended by Chancellor Thomas F. George, endorsed by President Timothy M. Wolfe, recommended by the Academic, Student and External Affairs

Committee, moved by Curator Steward, and seconded by Curator Cupps, that the following action be approved:

that upon the recommendation of Chancellor George, the Provost, and the Dean of the College of Arts and Science, it is recommended that Professor Janet L. Lauritsen be named to the position University of Missouri Curators' Professor, effective September 1, 2013. Professor Lauritsen will receive a \$10,000 annual stipend as long as she holds this position. \$5,000 will go to increased compensation (salary and benefits less applicable taxes) with the remaining \$5,000 available for professional expenses associated with her teaching, research, or creative activities. In accordance with Collected Rules and Regulations, 320.070, this appointment is for a period of five years which may be renewed at the discretion of the Chancellor.

Roll call vote of Board:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

Campus recommendation materials are on file in the office of Academic and Student Affairs, UM System.

Vice President Graham excused himself from the meeting.

General Counsel's Report and Legal Advice – presented by General Counsel Owens.

Vice President Krawitz, Treasurer Richards, Chancellor Morton and John Tvrdik joined the meeting.

Chancellor Morton and John Tvrdik excused themselves from the meeting.

²³⁷Property Easement, MU - this item is excluded from the minutes and will be given public notice upon completion of the matter.

²³⁸Property Lease, UMHC - this item is excluded from the minutes and will be given public notice upon completion of the matter.

Vice President Krawitz and Treasurer Richards excused themselves from the meeting.

Vice President Rodriguez joined the meeting.

University President's Report to the Board of Curators on contracts and personnel – presented by President Wolfe.

Vice President Rodriguez excused herself from the meeting.

Litigation Report – presented by General Counsel Owens. (report on file in the General Counsel's office)

Compensation and Human Resources Committee – Executive Session

Secretary Harmon and President Wolfe excused themselves from the meeting.

Annual Performance Review, Secretary Cindy S. Harmon – presented by Chairman Cupps

It was recommended by the Compensation and Human Resources Committee, moved by Curator Cupps and seconded by Curator Bradley, that based on the review of the performance of Secretary of the Board of Curators, Cindy S. Harmon for the period July 1, 2012 through June 30, 2013, the Board of Curators approves that the base salary of Cindy S. Harmon be increased by 2%, effective September 1, 2013.

Roll call vote of Board of Curators:

Curator Bradley voted yes.

Curator Covington voted yes.

Curator Cupps voted yes.

Curator Downing voted yes.

Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

It was moved by Curator Bradley and seconded by Curator Henrickson, that the meeting of the Board of Curators, June 13-14, 2013, be adjourned.

Roll call vote:

Curator Bradley voted yes.
Curator Covington voted yes.
Curator Cupps voted yes.
Curator Downing voted yes.
Curator Goode voted yes.
Curator Henrickson voted yes.
Curator Phillips voted yes.
Curator Ponder voted yes.
Curator Steward voted yes.

The motion carried.

There being no other business to come before the Board of Curators, the meeting was adjourned at 2:10 P.M. on Friday, June 14, 2013.

Respectfully submitted,



Cindy S. Harmon
Secretary of the Board of Curators
University of Missouri System

Approved by the Board of Curators on July 19, 2013.