**REQUEST FOR PROPOSALS**

**FOR**

**BANKING FACILITY AND ID BANKING SERVICES**

**FOR**

**THE CURATORS OF THE UNIVERSITY OF MISSOURI**

**FOR**

**THE UNIVERSITY OF MISSOURI-KANSAS CITY**

**RFP # 19-9015-DH-U**

**OPENING DATE: JANUARY 31, 2019**

**TIME: 12:00 PM, CT**

**Prepared by:**

**Darla Higgins**

**Sr. Business Services Consultant**

**University of Missouri System Supply Chain**

**2910 Lemone Industrial Blvd**

**Columbia, MO 65201**

**Dated: JANUARY 7, 2019**

**NOTICE TO RESPONDENTS**

The University of Missouri requests proposals for **Banking Facility and ID Banking Services RFP #: 19-9015-DH-U** which will be received by the undersigned at the UM System Supply Chain, University of Missouri, 2910 Lemone Industrial Blvd, Columbia, MO 65201 until 12:00 p.m., CT, January 31, 2019 . Proposals will be opened and identified starting at 12:05 p.m., CT.

Specifications and the conditions of proposal together with the printed form on which proposals must be made may be obtained from the UM Procurement Services web site at; [http://www.](http://www.pmm.missouri.edu)umsystem.edu/ums/fa/procurement/bids by selecting bid information. Vendors are strongly encouraged to check the site periodically in the event addenda are issued.

It will be the potential respondent's responsibility to print out a copy of the specifications found at the above web address and return them in hard copy form as a sealed proposal.

The web address should be checked routinely until the opening for any addendum that may be issued. In the event a respondent chooses to use this version of the proposal specification, the respondent should only complete the response information. Any modification by the respondent of the specifications provided will be ignored, and the original wording of the proposal specification shall be the prevailing document.

The University of Missouri reserves the right to waive any informality in proposals and to reject any or all proposals.

THE CURATORS OF THE

UNIVERSITY OF MISSOURI

By: Darla Higgins

 Sr. Business Services Consultant

 University of Missouri System Supply Chain

 higginsdj@umsystem.edu

Dated: JANUARY 7, 2019

**NOTICE TO RESPONDENTS**

The University of Missouri requests proposals for the Furnishing and Delivery Banking **Facility and ID Banking Services RFP #: 19-9015-DH-U** which will be received by the undersigned at UM Supply Chain, until **January 31, 2019 at, 12:00 p.m. CST**. **The University assumes no responsibility for any vendor’s on-time receipt at the designated location for proposal opening.**

Specifications and the conditions of Request for Proposal together with the printed form on which Request for Proposals must be made may be obtained by accessing the following website: <http://www.umsystem.edu/ums/fa/procurement> or from the Strategic Sourcing Specialist identified within this document.

In the event a Respondent chooses to use the Word version of the RFP to aid in preparation of its response, the Respondent should only complete the response information. Any modification by the Respondent of the specifications provided will be ignored, and the original wording of the RFP shall be the prevailing document.

If you have any questions regarding the RFP, please send them to:

 Darla Higgins

 Sr. Business Services Consultant

 University of Missouri System Supply Chain

 higginsdj@umsystem.edu

**All questions regarding the RFP must be received no later than January 15,2019.**

The University reserves the right to waive any informality in Request for Proposals and to reject any or all Request for Proposals.

THE CURATORS OF THE UNIVERSITY OF MISSOURI

Prepared by:

Kristen Meade

Director of Supply Chain

University of Missouri System Supply Chain

2910 LeMone Industrial Blvd

Columbia, MO 65201

**UNIVERSITY OF MISSOURI**

**REQUEST FOR PROPOSAL (RFP)**

**GENERAL TERMS AND CONDITIONS**

**&**

**INSTRUCTIONS TO RESPONDENTS**

1. **General Terms and Conditions**
2. **Purpose:** The purpose of these specifications is to require the furnishing of the highest quality equipment, supplies, material and/or service in accordance with the specifications. These documents, and any subsequent addenda, constitute the complete set of specification requirements and proposal response forms.
3. **Governing Laws and Regulations:** Any contract issued as a result of this RFP shall be construed according to the laws of the State of Missouri. Additionally, the contractor shall comply with all local, state, and federal laws and regulations related to the performance of the contract to the extent that the same may be applicable.
4. **Taxes:** The contractor shall assume and pay all taxes and contributions including, but not limited to, State, Federal and Municipal which are payable by virtue of the furnishing and delivery of item(s) specified herein. Materials and services furnished the University are not subject to either Federal Excise Taxes or Missouri Sales Tax.
5. **Sovereign Immunity:** The Curators of the University of Missouri, due to its status as a state entity and its entitlement to sovereign immunity, is unable to accept contract provisions, which require The Curators to indemnify another party (537.600, RSMo). Any indemnity language in proposed terms and conditions will be modified to conform to language that The Curators are able to accept.
6. **Preference for Missouri Firms:** In accordance with University policy, preference shall be given to Missouri products, materials, services and firms when the goods or services to be provided are equally or better suited for the intended purpose. As long as quality is equal, preference by a differential not to exceed 5% shall be given. Firms are considered "Missouri firms" if they maintain a regular place of business in the State of Missouri.
7. **Equal Opportunity and Non-Discrimination:** In connection with the furnishing of equipment, supplies, and/or services under the contract, the contractor and all subcontractors shall agree not to discriminate against any recipients of services, or employees or applicants for employment on the basis of race, color, religion, national origin, sex, age, disability, or veteran status. The contractor shall comply with federal laws, rules and regulations applicable to subcontractors of government contracts including those relating to equal employment of minorities, women, persons with disabilities, and certain veterans. Contract clauses required by the United Sates Government in such circumstances are incorporated herein by reference.

**Supplier Diversity Participation:** The University of Missouri System is committed to and supports supplier diversity because it is essential to the University’s mission and core values. Diverse suppliers must be at least 51% owned and controlled by someone in one of the recognized groups (see below). These firms can be a sole proprietorship, partnership, joint venture or corporation. Diverse suppliers should be certified from a recognized certifying agency. A list of certifying agencies can be found at: <https://www.umsystem.edu/ums/fa/procurement/sdsbd/what-is-supplier-diversity>.

The definition of what counts as a diverse supplier for the University of Missouri System are:

* MBE (Minority Owned Business Enterprise)
	+ African American
	+ Asian American
		- Pacific Asian American
		- Subcontinent Asian American
	+ Hispanic American
	+ Native American
* WBE (Women Owned Business Enterprise)
* DVBE (Service Disabled Veteran Owned Business Enterprise)
* VBE (Veteran Owned Business Enterprise)
* LGBT (Lesbian, Gay, Bisexual, Transgender)
* DBE (Disadvantaged Business Enterprise)

Tier 2 Diverse Supplier Spending and Reporting: The University strongly encourages Supplier Diversity participation in all of its contracts for goods and services. Tier 2 spend is spend reported by primary suppliers of the University of Missouri who subcontract work to, or make purchases from a diverse supplier. Depending upon the contract, primary suppliers may be asked to submit Tier 2 information with Women and Diverse Owned companies. Suppliers have two options in reporting Tier 2 dollars depending on the terms of the contract: Direct and Indirect.

* Direct dollars - those dollars directly spent with Women and Diverse Owned suppliers in the fulfillment of the contract.
* Indirect dollars - based on a percentage of revenue the University represents to the supplier. An example is as follows:
1. Supplier's Total Revenues: $10,000,000
2. Revenues from University $: $ 4,000,000
3. University % of Total Revenues: 40% (#2 divided by #1)
4. Total MBE Dollars $: $ 150,000
5. Total WBE Dollars $: $ 150,000
6. Total University Attributable MBE $: $ 60,000 (#3 multiplied by #4)
7. Total University Attributable WBE $: $ 60,000 (#3 multiplied by #5)
8. Total University Attributable MWBE $: $ 120,000 (Sum of #6 and #7)
9. University % Attributable Revenue: 3% (#8 divided by #2)

Respondents must indicate their Supplier Diversity participation levels committed to this contract on the Supplier Diversity Participation Form included in this RFP (see Attachment A). The Respondent must describe what suppliers and/or how the Respondent will achieve the Supplier Diversity goals. Evaluation of proposals shall include the proposed level of Supplier Diversity participation. Proposals that do not meet the participation requirements for Supplier Diversity will not receive any of the points during proposal review.

Suppliers/contractors will be responsible for reporting Tier 2 diverse supplier participation on an agreed upon timing (e.g., quarterly, annually) when business is awarded.

The University will monitor the contractor/supplier’s compliance in meeting the Supplier Diversity participation levels committed to in the awarded proposal. If the contractor/supplier’s payments to participating diverse suppliers are less than the amount committed to in the contract, the University reserves the right to cancel the contract, suspend and/or debar the contractor/supplier from participating in future contracts.

1. **Applicable Laws and Regulations:**  The University serves from time to time as a contractor for the United States government. Accordingly, the provider of goods and/or services shall comply with federal laws, rules and regulations applicable to subcontractors of government contracts including those relating to equal employment opportunity and affirmative action in the employment of minorities (Executive Order 11246), women (Executive Order 11375), persons with disabilities (29 USC 706 and Executive Order 11758), and certain veterans (38 USC 4212 formerly [2012]) contracting with business concerns with small disadvantaged business concerns (Publication L. 95-507). Contract clauses required by the Government in such circumstances are incorporated herein by reference.
2. **Appropriation:** The Curators of the University of Missouri is a public corporation and, as such, cannot create indebtedness in any one year (the fiscal year beginning July 1 to June 30) above what they can pay out of the annual income of said year as set forth in 172.250, RSMo. Therefore, if the University determines it has not received adequate appropriations, budget allocations or income to enable it to meet the terms of this contract, the University reserves the right to cancel this contract with 30 days’ notice.
3. **Applicable Health Related Laws and Regulations:** If these specifications or any resulting contract involves health care services or products, the Contractor agrees to maintain, and will further assure such compliance by its employees or subcontractors, the confidential nature of all information which may come to Contractor with regard to patients of the University. All services provided pursuant to this contract shall be provided in accordance with all applicable federal and state laws including The Health Insurance Portability and Accountability Act of 1996, Public Law 104-191, sections 261-264 (the Administrative Simplification sections) and the regulations promulgated pursuant thereto and regulations of the Joint Commission on Accreditation of Healthcare Organization and The Centers for Medicare & Medicaid Services (CMS).

Respondents understand and agree that the Curators of the University of Missouri, in the operation of the University Hospitals and Clinics, is regulated under federal or state laws with regard to contracting with vendors. The Contractor represents that it is not currently excluded or threatened with exclusion from participating in any federal or state funded health care program, including Medicare and Medicaid. Contractor agrees to notify the University of any imposed exclusions or sanctions covered by this representation.

The University will regularly check the "List of Excluded Individuals/Entities" (LEIE), maintained by the Office of Inspector General, United States Department of Health and Human Services (“OIG”) to determine if any Bidders/Respondents have been excluded from participation in federal health care programs, as that term is defined in 42 U.S.C. §1320a-7b(f). The University reserves the sole right to reject any respondents who are excluded by the OIG, who have been debarred by the federal government, or who have otherwise committed any act that could furnish a basis for such exclusion or debarment.

1. **Inventions, Patents, and Copyrights:** The Contractor shall pay for all royalties, license fees, patent or invention rights, or copyrights and defend all suits or claims for infringements of any patent or invention right or copyrights involved in the items furnished hereunder. The Contractor shall defend, protect, and hold harmless the University its officers, agents, servants and employees against all suits of law or in equity resulting from patent and or copyright infringement concerning the contractor's performance or products produced under the terms of the contract.

Copyrights for any item developed for the University shall be the property of the University and inure to its benefit and the Contractor shall execute such documents as the University may require for the perfection thereof.

1. **Insurance:** The Contractor shall purchase and maintain such insurance as will protect the Contractor and the University against any and all claims and demands arising from the execution of the contract. Further, when stated in the Detailed Specifications and Special Conditions, the Contractor shall be required to procure and maintain the types and limits of insurance as specified.
2. **Performance Bond/Irrevocable Letter of Credit:** If a performance bond or irrevocable letter of credit is required in the Detailed Specifications and Special Conditions, the Contractor shall furnish to the University, along with their signed contract, a performance bond or unconditional irrevocable letter of credit payable to the Curators of the University of Missouri in the face amount specified in the Detailed Specifications and Special Conditions as surety for faithful performance under the terms and conditions of the contract.
3. **Vendor Gifts:** The contractor shall refrain in offering any offers of gifts to the University, and all University of Missouri employee’s, in accordance with University of Missouri Policy #26301, Suppliers.
4. **Instructions to Respondents**
5. **Request for Proposal (RFP) Document:** Respondents are expected to examine the complete RFP document and all attachments including drawings, specifications, and instructions. Failure to do so is at Request for Proposal’s risk. It is the Respondents’ responsibility to ask questions, request changes or clarifications, or otherwise advise the University if any language, specifications or requirements of the RFP appear to be ambiguous, contradictory, and/or arbitrary, or appear to inadvertently restrict or limit the requirements stated in the RFP to a single source.

Any and all communications from Respondents regarding specifications, requirements, competitive Request for Proposal process, etc., should be directed to the University buyer of record referenced in this RFP. It is the responsibility of the person or organization communicating the request to ensure that it is received.

The RFP document and any attachments constitute the complete set of specifications and Request for Proposal response forms. No verbal or written information that is obtained other than through this RFP or its addenda shall be binding on the University. No employee of the University is authorized to interpret any portion of this RFP or give information as to the requirements of the RFP in addition to that contained in or amended to this written RFP document. In case of any doubt or difference of opinion as to the true intent of the RFP, the decision of the University's Chief Procurement Officer shall be final and binding on all parties.

2. **Preparation of Request for Proposals:** All Request for Proposals must be submitted in the format and number of copies as specified in the detailed specifications and must be enclosed in a sealed envelope plainly marked: Request for Proposal #,“**Banking Facility and ID Banking Services” RFP #: 19-9015-DH-U** mailed and/or delivered to UM System Supply Chain, 2910 LeMone Industrial Blvd, Columbia, MO 65201, ATTN: Darla Higgins

To receive consideration, Request for Proposals must be received, at the above address, prior to the Proposal due date and time stated in this RFP. It is the respondent’s full responsibility for the actual delivery of Proposals during business hours at the specified address.

Unless otherwise specifically stated in the RFP, all specifications and requirements constitute minimum requirements. All Requests for Proposals must meet or exceed the stated specifications or requirements. All equipment and supplies offered must be new, of current production, and available for marketing by the manufacturer unless the RFP clearly specifies that used, reconditioned, or remanufactured equipment and supplies may be offered. Unless specifically stated and allowed in the Detailed Specifications and Special Conditions, all pricing submitted in response to this RFP is firm and fixed.

Whenever the name of a manufacturer, trade name, brand name, or model and catalog numbers followed by the words "or equal" or "approved equal" are used in the specifications it is for the purpose of item identification and to establish standards of quality, style, and features. Proposals on equivalent items of the same quality are invited. However, to receive consideration, such equivalent proposals must be accompanied by sufficient descriptive literature and/or specifications to clearly identify the item and provide for competitive evaluation. The University will be the sole judge of equality and suitability. Whenever the name of a manufacturer is mentioned in the specifications and the words "or equal" do not follow, it shall be deemed that the words "or equal" follow unless the context specifies "no substitution." Unless noted on the Request for Proposal form, it will be deemed that the article furnished is that designated by the specifications. The University reserves the right to return, at contractor's expense, all items that are furnished which are not acceptable as equals to items specified and contractor agrees to replace such items with satisfactory items at the original proposal price.

Time will be of the essence for any orders placed as a result of this RFP. The University reserves the right to cancel any orders, or part thereof, without obligation if delivery is not made in accordance with the schedule specified by the respondents Proposal and accepted by the University. Unless otherwise specified in the Detailed Specifications and Special Conditions, all proposals shall include all packing, handling, and shipping charges FOB destination, freight prepaid and allowed.

3. **Submission of Proposals:** Respondent shall furnish information required by the solicitation in the form requested. The University reserves the right to reject proposals with incomplete information or which are presented on a different form. All proposals shall be signed, in the appropriate location, by a duly authorized representative of the Respondent's organization. Signature on the proposal certifies that the Respondent has read and fully understands all RFP specifications, plans, and terms and conditions.

By submitting a proposal, the Respondent agrees to provide the specified equipment, supplies and/or services in the RFP, at the prices quoted, pursuant to all requirements and specifications contained therein. Furthermore, the Respondent certifies that: (1) the proposal is genuine and is not made in the interest of or on behalf of any undisclosed person, firm, or corporation, and is not submitted in conformity with any agreement or rules of any group, association, or corporation; (2) the Respondent has not directly or indirectly induced or solicited any other Respondent to submit a false or sham proposal; (3) the Respondent has not solicited or induced any person, firm, or corporation to refrain from responding; (4) the Respondent has not sought by collusion or otherwise to obtain any advantage over any other Respondent or over the University.

Modifications or erasures made before proposal submission must be initialed in ink by the person signing the proposal. Proposals, once submitted, may be modified in writing prior to the exact date and time set for the RFP closing. Any such modifications shall be prepared on company letterhead, signed by a duly authorized representative, and state the new document supersedes or modifies the prior proposal. The modification must be submitted in a sealed envelope marked "Proposal Modification" and clearly identifying the RFP title, RFP number and closing date and time. Proposals may not be modified after the RFP closing date and time. Telephone and facsimile modifications are not permitted.

Proposals may be withdrawn in writing, on company letterhead, signed by a duly authorized representative and received at the designated location prior to the date and time set for RFP closing. Proposals may be withdrawn in person before the RFP closing upon presentation of proper identification. Proposals may not be withdrawn for a period of sixty (60) days after the scheduled closing time for the receipt of proposals.

All proposals, information, and materials received by the University in connection with an RFP response shall be deemed open records pursuant to 610.021 RSMo. If a Respondent believes any of the information contained in the Respondent's response is exempt from 610.021 RSMo, the Respondent's response must specifically identify the material which is deemed to be exempt and cite the legal authority for the exemption; otherwise, the University will treat all materials received as open records. The University shall make the final determination as to what materials are or are not exempt

4. **Evaluation and Award:** Any clerical errors, apparent on its face, may be corrected by the Buyer before contract award. Upon discovering an apparent clerical error, the Buyer shall contact the Respondent and request clarification of the intended proposal. The correction shall be incorporated in the notice of award. The University reserves the right to request clarification of any portion of the Respondent's response in order to verify the intent. The Respondent is cautioned, however, that its response may be subject to acceptance or rejection without further clarification.

The University reserves the right to make an award to the responsive and responsible Respondent whose product or service meets the terms, conditions, and specifications of the RFP and whose proposal is considered to best serve the University's interest. In determining responsiveness and the responsibility of the Respondent, the following shall be considered when applicable: the ability, capacity, and skill of the respondent to perform as required; whether the respondent can perform promptly, or within the time specified without delay or interference; the character, integrity, reputation, judgment, experience and efficiency of the respondent; the quality of past performance by the Respondent; the previous and existing compliance by the Respondent with related laws and regulations; the sufficiency of the Respondent's financial resources; the availability, quality and adaptability of the Respondents equipment, supplies and/or services to the required use; the ability of the respondent to provide future maintenance, service and parts.

The University has established formal protest procedures. For more information about these procedures, contact the Buyer of Record.

In case of any doubt or difference of opinion as to the items and/or services to be furnished hereunder, the decision of the Chief Procurement Officer-UM System Supply Chain shall be final and binding upon all parties.

The University reserves the right to accept or reject any or all proposals and to waive any technicality or informality.

5. **Contract Award and Assignment:** The successful Respondent(s) shall, within ten (10) days after the receipt of formal notice of award of the contract, enter into a contract prepared by the University. The Contract Documents shall include the Advertisement for Request for Proposals, Specifications and Addenda, Exhibits, Request for Proposal Form, Form of Contract, Statement of Work. Letter of Award, University Purchase Order, and Form of Performance Bond, if required.

The contract to be awarded and any amount to be paid thereunder shall not be transferred, sublet, or assigned without the prior approval of the University.

6**. Contract Termination for Cause:** In the event the Contractor violates any provisions of the contract, the University may serve written notice upon Contractor and Surety setting forth the violations and demanding compliance with the contract. Unless within ten (10) days after serving such notice, such violations shall cease and satisfactory arrangements for correction be made, the University may terminate the contract by serving written notice upon the Contractor; but the liability of Contractor and Surety for such violation; and for any and all damages resulting there from, as well as from such termination, shall not be affected by any such termination.

7. **Contract Termination for Convenience:** The University reserves the right, in its best interest as determined by the University, to cancel the contract by given written notice to the Contractor thirty (30) days prior to the effective date of such cancellation.

8. **Warranty and Acceptance:** The Contractor expressly warrants that all equipment, supplies, and/or services provided shall: (1) conform to each and every specification, drawing, sample or other description which was furnished or adopted by the University, (2) be fit and sufficient for the purpose expressed in the RFP, (3) be merchantable, (4) be of good materials and workmanship, (5) be free from defect. Such warranty shall survive delivery and shall not be deemed waived either by reason of the University's acceptance of or payment for such equipment, supplies, and/or services.

No equipment, supplies, and/or services received by the University pursuant to a contract shall be deemed accepted until the University has had a reasonable opportunity to inspect said equipment, supplies and/or services. All equipment, supplies, and/or services which do not comply with specifications and/or requirements or which are otherwise unacceptable or defective may be rejected. In addition, all equipment, supplies, and/or services which are discovered to be defective or which do not conform to any warranty of the Contractor upon inspection (or at any later time if the defects contained were not reasonably ascertainable upon the initial inspection) may be rejected.

9. **Payment:** Preferred settlement method is through the use of Electronic Accounts Payable solutions. Payment terms associated with these forms of payment will be issued as net 30 after the date of invoice. Payment terms associated with settlement by check will be considered to be net 30 days. Cash discounts for prompt payment may be offered but they will not be considered in determination of award unless specifically stated in the Detailed Specifications and Special Conditions. The University may withhold payment or make such deductions as may be necessary to protect the University from loss or damage on account of defective work, claims, damages, or to pay for repair or correction of equipment or supplies furnished hereunder. Payment may not be made until satisfactory delivery and acceptance by the University and receipt of correct invoice have occurred.

The University encourages suppliers to opt into its Single-Use Account (SUA) credit card program for payment of invoices. The SUA is an electronic, credit card-based payment solution that acts like a check. It provides a single 16-digit virtual account number for each payment. Similar to a check, the credit limit on each SUA is set to the specific payment amount. Payment terms for Suppliers who participate in the SUA program are Net 0 as opposed to the standard Net 30 terms.

10. **Accounting Practices:** The Contractor shall maintain, during the term of the contract, all books of account, reports, and records in accordance with generally accepted accounting practices and standard for records directly related to this contract. The Contractor agrees to make available to the University, during normal business hours, all book of account, reports and records relating to this contract for the duration of the contract and retain them for a minimum period of one (1) year beyond the last day of the contract term.

11. **Debarment and Suspension Certification:** The contractor certifies to the best of its knowledge and belief that it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency in accordance with Executive Order 12549 (2/18/86).

12. **Cooperative Purchasing:** The intended coverage of this RFP, and any Agreement resulting from this solicitation, shall be for the use by all faculty, staff, students, departments and affiliates of the University of Missouri, including University of Missouri Health Care.

The University of Missouri System seeks to make the terms and prices of this contract available to other higher education institutions and public entities in the State of Missouri. Extension of the terms and prices to any or all other Missouri higher education institutions and public entities is at the discretion of respondents and shall not be considered in the award of this contract. The contractor shall further understand and agree that participation by other higher education institutions and public entities is discretionary on the part of these institutions, and the University of Missouri System bears no financial responsibility for any payments due the contractor by such entities, nor will the University be responsible for contract administration for other institutions.

**UNIVERSITY OF MISSOURI**

**DETAILED SPECIFICATIONS AND SPECIAL CONDITIONS**

**INTRODUCTION**

The Curators of the University of Missouri, a public organization, propose to contract on behalf of the University of Missouri System (referred to as “University”) with an organization (referred to as “Contractor”) “**Banking Facility and ID Banking Services” RFP #: 19-9015-DH-U** which as described herein.

Attached hereto is a Form of Proposal to be used for the submission of information requested herein. The Form of Proposal must be sealed and clearly addressed to the University of Missouri System Supply Chain, 2910 Lemone Industrial Blvd., Columbia, Missouri 65201 with a notation on the sealed envelope showing the contents to be a proposal for **Banking Facility and ID Banking Services RFP #: 19-9015-DH-U,** and received no later than, January 31, 2019 at 12:00p.m. CT.

All proposals must be submitted, in one (1) original paper hard copy and one (1) paper copy, and on a flash or jump drive without password protection,(is the only acceptable form of electronic copy, email and fax responses will not be accepted). All pricing information must be submitted separately from the proposal solution.

The University of Missouri-Kansas City is one of four campuses within the University of Missouri System. UMKC is an urban campus located in greater Kansas City. The main campus (Volker) is located at 5100 Rockhill Road and the health sciences campus (Hospital Hill) is located at 25th &

Holmes. The University has an approximate enrollment of 14,256 (9,323 undergraduate, 4,863 graduate/professional), with 2,369 faculty and 1,711 staff.

UMKC currently has approximately 850 students housed in 2 separate residential halls, and more than 200 students living in university owned houses and apartments located on the Volker Campus. UMKC's focus is to build on the existing residential life on campus. Contractor should address in their proposal how they will help the University meets its goals of increasing and improving campus life for its students.

Interested bidders shall educate themselves with UMKC's vision, mission, values and goals and develop and submit their proposal showing how they will meet the needs of our students, faculty and staff. The UMKC strategic plan can be found at: https://www.umkc.edu/about/strategic-plan.html. We value energized collaborate communities and desire to create a partnership that will help ensure *more* students choose to stay on campus *longer.*

**SCOPE OF WORK**

The Curators of the University of Missouri is requesting proposals from a banking partner(s) with a proven track record of successful academic relationships, to provide banking services that meet the diverse needs of the entire campus community for the University of Missouri-Kansas City (UMKC). The services requested in this Request for Proposal (RFP) include a banking partner(s) to provide an on-campus banking facility and ID Banking Services.

The University of Missouri-Kansas City (UMKC) desires to partner with a local bank to provide an on­ campus, full service bank Branch, within the Student Union. This program will involve said bank implementing and managing a branch on the university campus and offering the following services; providing personal banking services; providing an option to tie a bank account (with ATM/Debit card capabilities) to a University ID card; providing marketing assistance to promote the bank/id card relationship; providing financial support for the ID Card Program; and providing banking education options for the campus community.

This RFP is designed with the anticipation that the business will be awarded as deemed in the best interest of the University. The business may be re-bid if the University is dissatisfied with the quality of services offered by Proposer(s) or if new technology has made the services requested in this RFP obsolete. This decision will be made in the best interests of the University.

The start contract dates for each service will be the same. It is the intent of the University to contract the services for a period of five (5) years, with annual renewal options, as defined in detail herein.

UNIVERSITY'S OBJECTIVES

* + - Ensure quality and convenient financial services for UMKC and the campus community, meeting parent, student, faculty and staff needs
		- Complement existing University declining balance programs by facilitating banking transactions using the ID card as an ATM/Pin-based bank debit card. (Note: The University **does not desire, nor will entertain the notion to have the Visa or MasterCard relationship tied with this card, at this time).**
		- Supporting the University's mission by building community relationships and benefiting the area merchant community by facilitating student, faculty and staff purchases.
		- Advancing UMKC's educational initiatives and assisting in developing educational programs and content in partnership with the University of Missouri - Kansas City
		- Obtaining marketing assistance to promote ID card and student programs.
		- Produce value for the University by maximizing the revenue potential of this partnership to support the ID card program expansion and identify assurance program

**Location**

The Student Union which opened in August 2010, is equipped with state-of-the-art telecommunications and technology and houses student lounges, multiple conference rooms, programming spaces, computer lab, retail dining space and a quiet floor, including an auditorium. The location for the bank branch provides for approximately 475 square feet and is located on the main floor of the Student Union. This location provides for a visible presence next to the south entrance to the building from an adjacent parking lot. The south entrance brings a generous amount of foot traffic as the main walk thru from the north entrance to the south entrance. The floor plan is identified as attached Exhibit A for this RFP.

Hours of operation for the Student Union during regular semesters are:

Monday – Saturday 7:00 a.m. - 11:00 p.m.

Sunday 11:00 a.m. – 11:00 p.m.

Summer and Intercession hours are: 7:00 a.m. - 7:00 p.m.

The minimum recommended hours for the banking facility are:

Monday - Friday

9:00 a.m. - 5:00 p.m.

OVERVIEW OF THE ID CARD PROGRAM AND ANTICIPATED BANKING RELATIONSHIP

The UMKC ID card is the official University photo ID for all students, faculty and staff. The University ID Card is used for security door access, checking out library materials, printing, bookstore purchases, food service plans, and with our off-campus program. The card will access a central directory to verify individual eligibility for the various functions of the card. As eligibility changes, the directory is updated and card-related entitlements change accordingly.

OVERVIEW OF ID CARD TECHNICAL SYSTEMS/ISO NUMBER

The campus card system used by the University of Missouri-Kansas City is composed of several distinct technologies supplied by The CBORD Group, Inc. All these systems run on MS Windows-based computers and rely on a secured TCP/JP network.

Card production is processed on site in the One Card Office using DataCard ID Works. The card graphics and encoding are printed with a DataCard SP75 Plus dual sided printer/encoder. Cards are issued with a track I magnetic encoding of the PeopleSoft student or employee ID number along with other system identifiers. Our card system encodes University owned ISO data on track 2; however our ID printer is capable of encoding track three as well. Our physical card media is a common CR-80 Mag stripe composite PVC cards consisting of Hi-Co, 3Track, 30 Mill PVC cards. The University reserves the right to add or change the technologies used to support the One Card program and services in the future.

The University currently operates an internal closed-loop debit account program. Our current debit program utilizes track two of the magnetic stripe and it is not a PIN-based system. The University uses CBORD Odyssey PCS for dining meal plans, vending machines,, copying, printing and admittance to athletic events by reading the magnetically encoded ISO ABA data on track two. Note: While track one and two are readable tracks by the One Card system, the system can be programmed to encode an ISO ABA account number including the LUHN Check digit on track two. Several different account types can be associated with each other in the database, thus allowing for multiple account numbers to be assigned to a single individual.

When cards are replaced because they are lost or stolen, the ISO ABA card number is deactivated and a new random one is assigned with the new card. Damaged cards are replaced with the same card number as before. Data is sent out from the master server to all card systems on a real-time basis.

We expect the participating bank to provide the necessary connection for those individuals who choose to participate in a banking program to the ISO number. For those who do not opt for the banking option, they will have an ISO encoding but it will not be activated/connected to the banking system. We expect the banking partnership to complement these current debit systems.

**Specifications for the Lease and Operations of Banking Facility**

The contract shall be between The Curators of the University of Missouri, known as the "University" and successful bidder known as the "Provider" for the Lease and Operation of Banking Facility according to the terms set forth herein.

The contract shall be effective when the lending institution is permitted to finish out and occupy their shell space (negotiated with the University) in the Student Union.

If, because of riots, war, public emergency or calamity, fire, earthquake, Act of God, government restriction, labor disturbance or strike, business operations of the University shall be interrupted or stopped, performance of this contract, with the exception of monies already due and owing shall be suspended and excused to the extent commensurate with such interfering occurrence; and the expiration date of this contract may be extended for a period equal to the time that such default in performance is excused.

**Provider's Responsibilities**

Physical Facilities: The banking facility shall consist of an inside customer service window. Space will also be provided in or near the banking facility for an ATM. At the termination or expiration of the contract, all fixtures attached to the building shall become the property of the University. This does not include the ATM.

The customer service counter/window shall offer services comparable to successful bidder's other customer service locations. The hours of operation of the customer service counter/window shall be established by mutual agreement between the successful bidder and the University.

The University will provide electricity, gas, heat, air conditioning and trash services. However, the university cannot guarantee an uninterrupted supply of these utilities. The University shall be diligent in restoring service following an interruption or failure of any such utility services.

The Provider will provide its own telephone lines, Ethernet lines, service and custodial support.

Provider acknowledges that satisfactory public relations with University faculty, staff, students and visitors are an important part of its service and it shall instruct its personnel in appropriate standards for appearance and the proper handling of customer requests and complaints.

Provider, at the termination of the lease whether at the expiration of the original or any successive annual term or upon forfeiture, shall surrender peaceful possession of said premises in as good condition as the same were received, usual wear and tear and providential destruction accepted.

Provider shall have no responsibility for any structural roof damage to the building not due to its own negligence, the negligence of its employees, agents or subcontractors.

Provider shall provide all necessary equipment for the operation of its banking services. At the termination of the lease, this equipment will remain the property of the Provider who will be responsible for removing said equipment from the space without material damage to the premises.

Provider shall be responsible for the payment of all applicable taxes levied in connection with the operation of its banking service business, including, but not limited to, sales tax, personal property tax, etc.

Provider agrees that its advertising shall not indicate in any way a connection to the University

either expressed or implied, as to the University's promotion or endorsement of Provider's operation without prior written approval from the University.

All interior and exterior advertising and signs shall be consistent with the image of a public institution of higher education and consistent with existing University signage and must be approved in advance by the University and paid for by the Provider. Exterior signage is not allowed.

Uniforms of Provider's employees shall not infer said employee is an employee of the University.

Maintenance: The successful bidder shall be responsible for the maintenance of the ATM and the equipment and furnishings of the facility as well as for the interior cleaning of the facility. Provider shall promptly repair or replace inoperable ATM/COM to insure continuity of service; standard response time for a service call shall be four (4) hours. The premises, equipment and facilities shall be maintained throughout the life of this contract in a condition satisfactory to the University. The University will be responsible for the maintenance of the building.

Cash Exposure Liability: The successful bidder shall assume all liability for cash exposure.

Building Access: Authorization for building access will be coordinated through the University building coordinator and University Police personnel and will be granted to staff of successful bidder for after-hour servicing.

Parking: Provisions for parking must be handled through the campus parking office.

Automated Teller Machine *(A*TM) at the bank facility The ATM shall have the following:

* 1. Silent alarm system capable of alerting campus police or security service
1. Concealed surveillance camera and related equipment is preferred
2. Automatic dialer to alert bank staff in case of malfunction
3. Ethernet connectivity

The ATM shall have the ability to utilize a shared ATM switching system. The successful bidder shall be a member of Cirrus, Bankmate, Plus or other nationwide system to allow access by cards issued by other banks that are members of those networks.

The ATM shall al low for withdrawals of cash, deposits, transfer of funds between accounts, loan payments and balance inquiry.

University of Missouri System is seeking proposals from experienced professional firms interested in providing the following:

1. **BACKGROUND UNIVERSITY INFORMATION**

The University of Missouri has provided teaching, research and service to Missouri since 1839. It was the first publicly supported institution of higher education established in the Louisiana Purchase territory. Today, the University of Missouri is one of the nation’s largest higher education institutions with more than 73,000 students, 28,000 faculty and staff on four campuses, an extension program with activities in every county of the state, comprehensive distance learning services and an extensive health care network.

1. **CONTRACT PERIOD**

The contract period shall be for the term of (5) five-years with option to renew the agreement for (5) additional (1-year) renewals.

• Release of RFP: January 7, 2018

• RFP response due to January 31, 2018

• Last day for respondent questions January 15, 2019

• Live Presentations: February 11-28, 2019 (at the University of Missouri Kansas City)If needed

• Notification of Award to the selected consultant March 15, 2018

## **INSTRUCTIONS FOR PROPOSAL RESPONSE**

Respondents are required to fully respond with compliance statements to each of the mandatory specifications. Respondents are required to fully respond with description of ability to meet (and how) the evaluation questions.

Respondents must be clear and concise in responses in order to be fully credited in the evaluation. Attach and reference any relevant documentation that would ensure the evaluating committee that specifications are met. If “no response” or insufficient response to substantiate compliance is provided, the University reserves the sole right to reject vendor’s proposal from further consideration. Do not include responses that are superfluous or irrelevant to the specific question asked. These are not valuable in the volume of information the various evaluating teams must review.

Proposals must be submitted in the number and manner as specified below:

**Volume I** – Functional Section is to be submitted with two (1) total copies, one (1) original paper, one (1) paper copies, and one (1) electronic copy via flash drive (not password protected) in PDF format and must contain:

\*Response to Information for Respondents and General Conditions, Mandatory Specifications and vendor responses, and Desirable Specifications and vendor responses. If there is any vendor related contract that must be signed as part of doing business, it should also be included in this section. **This section includes all response information, except pricing information and Supplier Diversity Participation Form.**

**Volume II –** Financial Section must be submitted in a separately sealed envelope in triplicate (one original, one copy and one electronic copy via flash drive not password protected) and contain:

\*Proposal Form with any supplemental pricing schedules, if applicable, and Financial Summary including additional costs, if any, for Desirable Specification Compliance, functional or technical. This section should also include the Supplier Diversity Participation Form. Financial statements that may be required are also to be included in this section.

Respondent must complete and return the University Proposal Form with proposal response. Vendor quote sheets are not acceptable forms of bidding and could cause rejection of response.

Responses to this document must address issues in the order provided. **Please limit your proposal responses to no more than 15 pages in response to the criteria.**

Note: Any Respondent’s Request for Proposal that makes material modifications to the University’s Terms and Conditions may be found non-responsive, as solely determined by the University.

**Confidentiality of Information:**

All records received from a Contractor will be deemed public records and presumed to be open. If the contractor submits with the Request for Proposal any information claimed to be exempt under the Revised Statues of Missouri, Chapter 610, this information must be placed in a separate envelope and marked with:

"This data shall not be disclosed outside the University or be duplicated, used, or disclosed in whole or in part for any purpose other than to evaluate the Request for Proposal; however, if a contract is awarded to this Contractor as a result of or in connection with the submission of such information, the University shall have the right to duplicate, use, or disclose this information to the extent provided in the contract. This restriction does not limit the University's right to use information contained herein if it is obtained from another source."

1. **EVALUATION AND CRITERIA FOR AWARD OF PROPOSAL**

Respondents must meet the mandatory/limiting criteria to be “qualified” for scoring. If requirements are not met, the respondents are disqualified from further evaluation/award. Qualified remaining respondents will be scored on their ability to meet scored desirable criteria, which includes qualitatively, how specifications are met. A team of University individuals will evaluate and assign points to vendors’ responses to the evaluation questions. At the sole option of the University, the functional/technical review team may decide to go on a site visit, at their expense, or request vendors to perform a presentation/demonstration to confirm specifications are met as provided in responses. The University could elect to not award to a potential respondent if site visits revealed compliance inconsistency.

The University may request vendors selected as finalists to come onsite to the University, at the vendor’s expense, for presentations as part of the RFP selection.

Specific evaluation questions are found on attachments to this RFP. The criteria and factors for use to evaluate the proposal are listed below in no particular order.

* Clarity and applicability of approach proposed.
* Banking services (including on-line) products and financial education tools offered to students that meeting the population’s needs.
* New and innovative ideas and/or services offered.
* Experience in providing campus banking services in the higher education market.
* Space recommendation and capital involvement
* Marketing Assistance offered to the University
* Labor and financial cost of partnership to the University/Revenue options.

Proposals will be awarded based upon the functional and financial evaluation.

1. **CONSULTANT’S LIABILITY AND INSURANCE REQUIREMENTS – (Update based on need.)**

Liability:

The Consultant agrees to define, indemnify, and hold harmless the University, its officers, agents and employees from and against all losses and expenses (including costs and attorney’s fees) resulting from any injury (including death) to any person, or damages to property of others arising out of the acts or omissions of the Consultant, its employees, or agents in performance of the work under this Agreement.

Insurance:

The Consultant shall provide and maintain, during the life of the Agreement, insurance acceptable to the University which will afford protection and coverage in accordance with the requirements set forth below:

Commercial General Liability Coverage comparable to Comprehensive General Liability coverage to protect the Consultant and any Sub-consultant performing work covered by this Agreement from claims for damages for personal injury, bodily injury (including wrongful death), and from claims for property damage which may arise from the operation under the Agreement. The coverage will provide protection for all operations by the Consultant or any Sub-consultant or by anyone directly or indirectly employed by either of them. In addition, the coverage is to include "The Curators of the University of Missouri" as "Additional Insured." The amount of the insurance shall not be less than a minimum of $1,000,000 combined single limit, per occurrence and aggregate, for both bodily injury and property damage combined.

In lieu of the “Additional Insured” endorsement, an Owners-Contractors-Protective policy may be provided evidencing “The Curators of the University of Missouri” as the Named Insured.

Professional Liability Insurance will be provided by the Consultant to cover any claims, including but not limited to errors and omissions, which may arise from the work performed by the Consultant, Sub-consultant, or anyone directly or indirectly employed by them. The coverage provided will not be less than $1,000,000 per occurrence and aggregate.

All insurance shall be procured through agencies and be written by insurance companies which are acceptable to and approved by the University, e.g., all coverage should be placed with Insurance Carriers that are licensed to do business in the State of Missouri as an admitted Carrier and all coverage placed are subject to the University's approval as to form and content, as well as Carrier. All required coverage shall be obtained and paid for by the Consultant.

The Consultant shall furnish the University with certificates, policies or binders which indicate the Consultant and/or the University and other Consultants (where required) are covered by the required insurance showing type, amount, class of operations covered, effective dates and dates of expiration of policies prior to the University issuing a Notice to Proceed.

1. **PAYMENT TERMS AND CONDITIONS**

Payment in full will be made within thirty (30) days after receiving invoices for good/services rendered as meeting all performance specifications. The University reserves the right to withhold a portion of the payment until the services have been completed. Any different payment terms desired by the respondent must be clearly stated and may or may not be accepted by the University.

Preferred settlement method is through the use of Electronic Accounts Payable solutions. Payment terms associated with these forms of payment will be issued as net 30 after the date of invoice. Payment terms associated with settlement by check will be considered to be net 30 days. Cash discounts for prompt payment may be offered but they will not be considered in determination of award unless specifically stated in the Detailed Specifications and Special Conditions. The University may withhold payment or make such deductions as may be necessary to protect the University from loss or damage on account of defective work, claims, damages, or to pay for repair or correction of equipment or supplies furnished hereunder. Payment may not be made until satisfactory delivery and acceptance by the University and receipt of correct invoice have occurred.

The University reserves the right to withhold payment for services if/when the contractor’s products/services fail to meet functional, performance or availability expectations as documented and agreed to in the contract.

The University encourages suppliers to opt into its Single-Use Account (SUA) credit card program for payment of invoices. The SUA is an electronic, credit card-based payment solutions that acts like a check. It provides a single 16-digit virtual account number for each payment. Similar to a check, the credit limit on each SUA is set to the specific payment amount. Payment terms for Suppliers who participate in the SUA program are Net 10 as opposed to the standard Net 30 terms.

1. **MANDATORY CRITERIA**

Respondents must meet all mandatory requirements in this section in order to continue with a response to this RFP. Any Respondent that does not meet all of the following requirements will be removed from further consideration. Respondents must provide a written, affirmative response to each of the criteria stated below and provide substantiating information to support your answer.

**The following questions are mandatory requirements that must be met in order for a proposal response to be considered**.

7.1 Demonstrated and proven success in providing Banking Services in a college or university environment for a period of no less than 3 years.

Yes or No\_\_\_\_\_

1. **DESIRABLE CRITERIA**

It is the Respondent’s responsibility to supply sufficient and complete information for a full evaluation of all items in this section, including detailed explanations.

8.1 Describe your firms understanding of the university community banking requirement and the responsiveness to the Requirements for Proposal and completeness of proposal.

 8.2 Provide detailed documentation of new innovative ideas services that they are currently offering or working implementing anytime during the proposed contract period.

 8.3 Provide history and experience of providing banking and card services to Higher Ed Clients and focus on experience in providing these services in conjunction with trying a bank account a campus ID Card program.

 8.4 What is the number of employees dedicated to consulting in your organization?

 8.5 Provide a detailed layout of the Implementation. This is to include a timeline, with special focus on creating a smooth transition into the current environment, with minimal impact on the enrollment of the general student population.

 8.6 Provide evidence of previous similar engagements with proof of positive returns.

 8.7 Provide a space recommendation layout with their proposal, based on the square footage provided in this RFP. A visit to the Student Union, to preview this space, will be provided after the Mandatory Pre-Proposal Conference on. Respondents are requested to identify the capital investment they are willing to provide for remodeling of the existing space in their financial proposal.

 8.8 Respondents are to identify marketing strategies, based on a timeline, with details as to the different type of marketing materials that will be used throughout the length of the contract period. Additional options like with new, creative, and innovative concepts are encouraged

 8.9 Provide your firms previous experience working with international enrollment management.

 8.10 Respondents are to identify the financial costs to the University for Initial Implementation and a five (5) year partnership, in addition to identifying Revenue & Investment Opportunities.

1. **Reference**

**Provide a list of at least three organizations for which they are or have provided similar services in scope for Banking/Card. (Please include three references with the following information):**

 Company Name:

 Contact Name:

 Telephone Number:

 Email Address:

 **11. Financial Cost**

 Labor and financial cost of partnership to the University/ Revenue & Investment opportunities. Respondents are to identify the financial costs to the University for Initial Implementation and a five (5) year partnership, in addition to identifying Revenue & Investment Opportunities.

**REQUEST FOR PROPOSAL FORM**

 **REQUEST FOR PROPOSALS**

 **FOR**

**FURNISHING AND DELIVERY**

**OF**

**BANKING FACILITY AND ID BANKING SERVICES**

**FOR**

 **THE CURATORS OF THE UNIVERSITY OF MISSOURI-KANSAS CITY**

 **RFP # 19-9015-DH-U**

 **DUE DATE: JANUARY 31, 2019**

 **TIME: 12:00P.M. CST**

The undersigned proposes to furnish the following items and/or services in accordance with all requirements and specifications contained within this Request for Proposal issued by the University of Missouri.

 **AUTHORIZED RESPONDENT REPRESENTATION**

|  |  |
| --- | --- |
|  |  |
| Authorized Signature  | Date |
| Printed Name  | Title |
| Company Name |
| Mailing Address |
| City, State, Zip |
| Phone No.  | Federal Employer ID No. |
| Fax No.  | E-Mail Address |
| Number of calendar days delivery after receipt of order: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  | Payment Terms: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Note: Net 30 is default. Early pay discounts encouraged. |
| Select Payment Method: SUA ACH Check  |
| Circle one: Individual Partnership Corporation |
| If a corporation, incorporated under the laws of the State of\_\_\_\_\_\_\_\_\_\_ |
| Licensed to do business in the State of Missouri? \_\_\_\_yes \_\_\_\_\_no |
| Maintain a regular place of business in the State of Missouri? \_\_\_\_\_yes \_\_\_\_\_no |

**This signature sheet must be returned with your proposal.**

 **ATTACHMENT A**

**SUPPLIER DIVERSITY PARTICIPATION FORM**

The University of Missouri System is committed to and supports supplier diversity because it is essential to the University’s mission and core values. The University’s Supplier Diversity efforts reflect this mission.

Tier 2 Supplier Diversity Information - The University strongly encourages Supplier Diversity participation in all of its contracts for goods and services. Tier 2 Spend is spend reported by primary suppliers of the University of Missouri who subcontract work to, or make purchases from a diverse supplier. Depending upon the contract, primary suppliers will be asked to submit Tier 2 information with Women and Diverse Owned companies. Suppliers have two options in reporting Tier 2 dollars depending on the terms of the contract: Direct and Indirect. Awarded suppliers may be asked to utilize CVM Solutions for reporting Tier 2 spend.

* Direct dollars - those dollars directly spent with Women and Diverse Owned suppliers in the fulfillment of the contract.
* Indirect dollars - based on a percentage of revenue the University represents to the supplier. An example is as follows:
	+ Supplier's Total Revenues: $10,000,000
* Revenues from University $: $ 4,000,000
* University % of Total Revenues: 40% (#2 divided by #1)
* Total MBE Dollars $: $ 150,000
* Total WBE Dollars $: $ 150,000
* Total University Attributable MBE $: $ 60,000 (#3 multiplied by #4)
* Total University Attributable WBE $: $ 60,000 (#3 multiplied by #5)
* Total University Attributable MWBE $: $ 120,000 (Sum of #6 and #7)
* University % Attributable Revenue: 3% (#8 divided by #2)
1. Does your company have a Supplier Diversity Program? If so, describe efforts your company has made to increase business with Women and Diverse Owned businesses (i.e. does your company have a policy statement, participate in outreach activities, promote diverse firm subcontracting, publicize contract opportunities, provide certification assistance, etc.?) Please provide examples (use additional pages if needed): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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1. What percentage of your company's total contracting and procurement spend for the prior year was with Women and Diverse Owned businesses? Are you able to provide this information specific to University of Missouri business? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Complete the following table indicating the percentage your company will subcontract with certified Women and Diverse Owned businesses should your company be the successful bidder. Note: If your company does not plan to use Women and Diverse Owned businesses to fulfill your contract obligations, please explain why not.

|  |  |  |
| --- | --- | --- |
| **Supplier Name** | **% of Contract** | **Specify Direct or Indirect** |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

### If there are questions regarding supplier diversity at the University, contact Teresa Vest, vestt@umsystem.edu.

**---------------------------THIS FORM MUST BE SUBMITTED WITH THE RESPONSE-------------------------**

**ATTACHMENT B**

**SUPPLIER REGISTRATION INFORMATION**

Completion of this section is strongly encouraged. Please review and check ALL applicable boxes.

SMALL BUSINESS CONCERN: \_\_\_\_\_Yes \_\_\_\_\_No

The term “small business concern” shall mean a business as defined pursuant to Section 3 of the Small Business Act and relevant regulations issued pursuant thereto. Generally, this means a small business concern organized for profit, which is independently owned and operated, is not dominant in the field of operations in which it is bidding. We would consider any firm with 500 employees or less a “small business concern”.

WOMAN OWNED BUSINESS (WBE): \_\_\_\_\_\_Yes \_\_\_\_\_No

A woman owned business is defined as an organization that is 51% owned, controlled and/or managed, by a woman. The determination of WBE status depends solely on ownership and operation and is not related to employment. The firm should be certified by a recognized agency (e.g., state, local, federal, etc.). Please see Public Law 106-554 for more detail.

MINORITY BUSINESS ENTERPRISE (MBE): \_\_\_\_\_Yes \_\_\_\_\_No

A minority business is defined as an organization that is 51% owned, controlled and/or managed by minority group members. The determination of minority status depends solely on ownership and operation and is not related to employment. The firm should be certified by a recognized agency (e.g., state, local, federal, etc.). Please see Public Law 95-507 for more detail. Place an X by the appropriate space below.

1. Asian-Indian - A U.S. citizen whose origins are from India, Pakistan and Bangladesh \_\_\_\_\_\_\_ (A)

2. Asian-Pacific - A U.S. citizen whose origins are from Japan, China, Indonesia, Malaysia, Taiwan, Korea, Vietnam, Laos, Cambodia, the Philippines, Thailand, Samoa, Guam, the U.S. Trust Territories of the Pacific or the Northern Marianas. \_\_\_\_\_\_\_ (P)

3. Black - A U.S. citizen having origins in any of the Black racial groups of Africa. \_\_\_\_\_\_\_ (B)

1. Hispanic - A U.S. citizen of true-born Hispanic heritage, from any of the Spanish-speaking areas Mexico, Central America, South America and the Caribbean Basin only. \_\_\_\_\_\_\_ (H)
2. Native American - A person who is an American Indian, Eskimo, Aleut or Native Hawaiian, and regarded as such by the community of which the person claims to be a part. \_\_\_\_\_\_\_ (N)

A Veteran or Service Disabled Veteran business is defined as an organization that is 51% owned, controlled and/or managed by Veterans. The firm should be certified by a recognized agency (e.g., state, local, federal, etc.). Please see Public Law 109-461 for more detail.

VETERAN BUSINESS ENTERPRISE \_\_\_\_\_Yes \_\_\_\_\_\_No

SERVICE DISABLED VETERAN BUSINESS ENTERPRISE \_\_\_\_\_Yes \_\_\_\_\_No

MISSOURI FIRM: \_\_\_\_\_Yes \_\_\_\_\_No

A Missouri Firm is defined as an organization which has and maintains within the State of Missouri a regular place of business for the transaction of their business.

BUSINESS TYPE:

Manufacturer \_\_\_\_\_ (M)

Distributor/Wholesaler \_\_\_\_\_ (D)

Manufacturer’s Representative \_\_\_\_\_ (F)

Service \_\_\_\_\_ (S)

Retail \_\_\_\_\_ (R)

Contractor \_\_\_\_\_ (C)

Other \_\_\_\_\_ (O)

SOLE PROPRIETORSHIP: \_\_\_\_\_Yes \_\_\_\_\_No

SUPPLIER’S CERTIFICATION:

The undersigned hereby certifies that the foregoing information is a true and correct statement of the facts and agrees to abide by the laws of the State of Missouri and the rules and regulations of the University of Missouri System now in effect including any subsequent revisions thereof. Supplier acknowledges that it is his/her responsibility to keep the information current by notifying the University of Missouri of any changes.

Signature of Person Authorized to Sign this Supplier Registration Information Form

Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_