**PCI 3rd Party Service Provider Check List**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Items that need to be completed** | **Description** | **Completed** | | | | | |
| * IT SRQ / Campus IT review | Custom built for each new 3rd party |  | YES |  | NO |  |  |
| * Acknowledgement from 3rd party per **PCI DSS 12.8.2** | 3rd party must present us their acknowledgment or they need to sign our [3rd party agreement](https://www.umsystem.edu/sites/default/files/images/fa/treasury/Service_Provider_Agreement.docx) |  | YES |  | NO |  |  |
| * Attestation of Compliance (AOC) or other PCI compliance review per **PCI DSS 12.8.4** | See [University Credit Card Policy](https://www.umsystem.edu/sites/default/files/images/fa/treasury/CreditCardPolicy.docx) under *3rd Party Service Providers* |  | YES |  | NO |  |  |
| * Maintain information about which PCI DSS requirements are managed by each service provider, and which are managed by the entity per **PCI DSS 12.8.5** |  |  | YES |  | NO |  |  |
| * Review four quarterly passing ASV scans |  |  | YES |  | NO |  | N/A |
| * Add service provider to master list per **PCI DSS 12.8.1** |  |  | YES |  | NO |  |  |
| * Contract review by procurement |  |  | YES |  | NO |  |  |
| * Contract review by legal counsel |  |  | YES |  | NO |  |  |
| * Add [PCI Indemnification language](http://umurl.us/6e6O) to contract |  |  | YES |  | NO |  | N/A |
| * Review of PCI Validated Payment Software (may not be required for all 3rd parties) | Is it listed on the PCI council’s website as a [PCI Validated Payment Software](https://listings.pcisecuritystandards.org/assessors_and_solutions/payment_software)? |  | YES |  | NO |  | N/A |
| * PA-DSS implementation guide or PIM (P2PE Instruction Manual) (may not be required for all 3rd parties) | Guide has been received and reviewed |  | YES |  | NO |  | N/A |
| * Review of swipe devices (may not be required for all 3rd parties) | Is it listed on the PCI council’s website as an [approved PTS (Pin Transaction Security) Device?](https://www.pcisecuritystandards.org/approved_companies_providers/approved_pin_transaction_security.php) |  | YES |  | NO |  | N/A |
| * Dataflow Diagram | See [Diagram Guidance](https://sharepoint.umsystem.edu/sites/uminfopoint/media/fa/treasurer/credit_cards/Diagram_Guidance.docx?d=w74dbb8cdbbb54b8ba55731a6d437e7f7) |  | YES |  | NO |  |  |
| * Network Diagram | See [Diagram Guidance](https://sharepoint.umsystem.edu/sites/uminfopoint/media/fa/treasurer/credit_cards/Diagram_Guidance.docx?d=w74dbb8cdbbb54b8ba55731a6d437e7f7) |  | YES |  | NO |  | N/A |
| * Connectivity Diagram | See [Diagram Guidance](https://sharepoint.umsystem.edu/sites/uminfopoint/media/fa/treasurer/credit_cards/Diagram_Guidance.docx?d=w74dbb8cdbbb54b8ba55731a6d437e7f7) |  | YES |  | NO |  | N/A |
| * Proper due diligence per **PCI DSS 12.8.3** | Has all of the above been completed? |  | YES |  | NO |  |  |