SDVE/HDVE COMPLIANCE EVALUATION FORM

This form shall be completed by Bidders and submitted with the Bidder's Statement of Qualifications form for each SDVE or HDVE firm that will perform work under the contract. The undersigned submits the following data with respect to this firm's proposed SDVE and HDVE Participation.

1. Project: _____

2. Name of General Contractor: _____

3. Name of SDVE/HDVE: _____

   Address: _____

   Phone No.: _____

4. Describe the work to be performed. (List Base Bid work and any Alternate work separately):

   Base Bid:

   _____

   _____

   _____

   _____

5. Dollar amount of contract to be subcontracted to the SDVE/HDVE:

   Base Bid: _____

   Alternate(s), (Identify separately):

   _____

   _____

   _____

   _____

6. Is the proposed firm certified as an SDVE or HDVE by the State of Missouri, Office of Administration?

   Yes _____ No _____

Signature: _____

Name: _____

Title: _____

Date: _____

APPLICATION FOR WAIVER

This form shall be completed and submitted with the Bidder's Statement of Qualifications. Firms wishing to be considered for award are required to demonstrate that a good faith effort has been made to meet the SDVE and HDVE Participation Goals for that project. This form will be used to evaluate the extent to which a good faith effort has been made. The undersigned submits the following data with respect to the Bidder's efforts to meet the SDVE and HDVE Participation Goals.

1. List pre-bid conferences your firm attended where SDVE and HDVE Participation Goals were discussed.

2. Identify advertising efforts undertaken by your firm which were intended to recruit potential SDVE or HDVE subcontractors or suppliers for various aspects of this project. Provide names of newspapers, dates of advertisements and copies of ads that were run.

3. Note specific efforts to contact in writing those SDVE or HDVE firms capable of and likely to participate as subcontractors or suppliers for this project.

4. Describe steps taken by your firm to divide work into areas in which SDVE or HDVE firms would be capable of performing.

5. What efforts were taken to negotiate with prospective SDVE or HDVE firms? Include the names, addresses, and telephone numbers of SDVE and HDVE firms contacted, a description of the information given to SDVE and HDVE firms regarding plans and specifications for the assigned work, and a statement as to why additional agreements were not made with SDVE or HDVE firms.

6. List reasons for rejecting an SDVE or HDVE firm which has been contacted.

7. Describe the follow-up contacts with SDVE or HDVE firms made by your firm after the initial solicitation.

8. Describe the efforts made by your firm to provide interested SDVE and HDVE firms with sufficiently detailed information about the plans, specifications and requirements of the contract.

9. Describe your firm's efforts to locate SDVE and HDVE firms.

Based on the above stated good faith efforts made to meet the SDVE and HDVE Participation Goals, the Bidder hereby requests that the original goal be waived and that the percentage goal for this project be set at _____ percent.

The undersigned hereby certifies, having read the answers contained in the foregoing Application for Waiver, that they are true and correct to the best of his/her knowledge, information and belief.

Signature: _____

Name: _____

Title: _____

Company: _____

Date: _____

AFFIDAVIT

"The undersigned swears that the foregoing statements are true and correct and include all material information necessary to identify and explain the operation of _____ (name of firm) as well as the ownership thereof. Further, the undersigned agrees to provide through the prime contractor or directly to the Contracting Officer current, complete and accurate information regarding actual work performed on the project, the payment therefore and any proposed changes, if any, of the project, the foregoing arrangements and to permit the audit and examination of books, records and files of the named firm. Any material misrepresentation will be grounds for terminating any contract which may be awarded and for initiating action under federal or state laws concerning false statements."

Note - If, after filing this information and before the work of this firm is completed on the contract covered by this regulation, there is any significant change in the information submitted, you must inform the UM Executive Director of Facilities Planning and Development of the change either through the prime contractor or directly.

Signature: _____

Name: _____

Title: _____

Company: _____

Date: _____

Corporate Seal (where appropriate)

Date: _____

State of _____

County of _____

On this _____ day of _____ 20____, before me appeared _____ _____ to me personally known, who, being duly sworn, did execute the foregoing affidavit, and did state that he or she was properly authorized by _____ to execute the affidavit and did so as his or her own free act and deed.

(Seal)

_____
Notary Public

Commission expires: _____